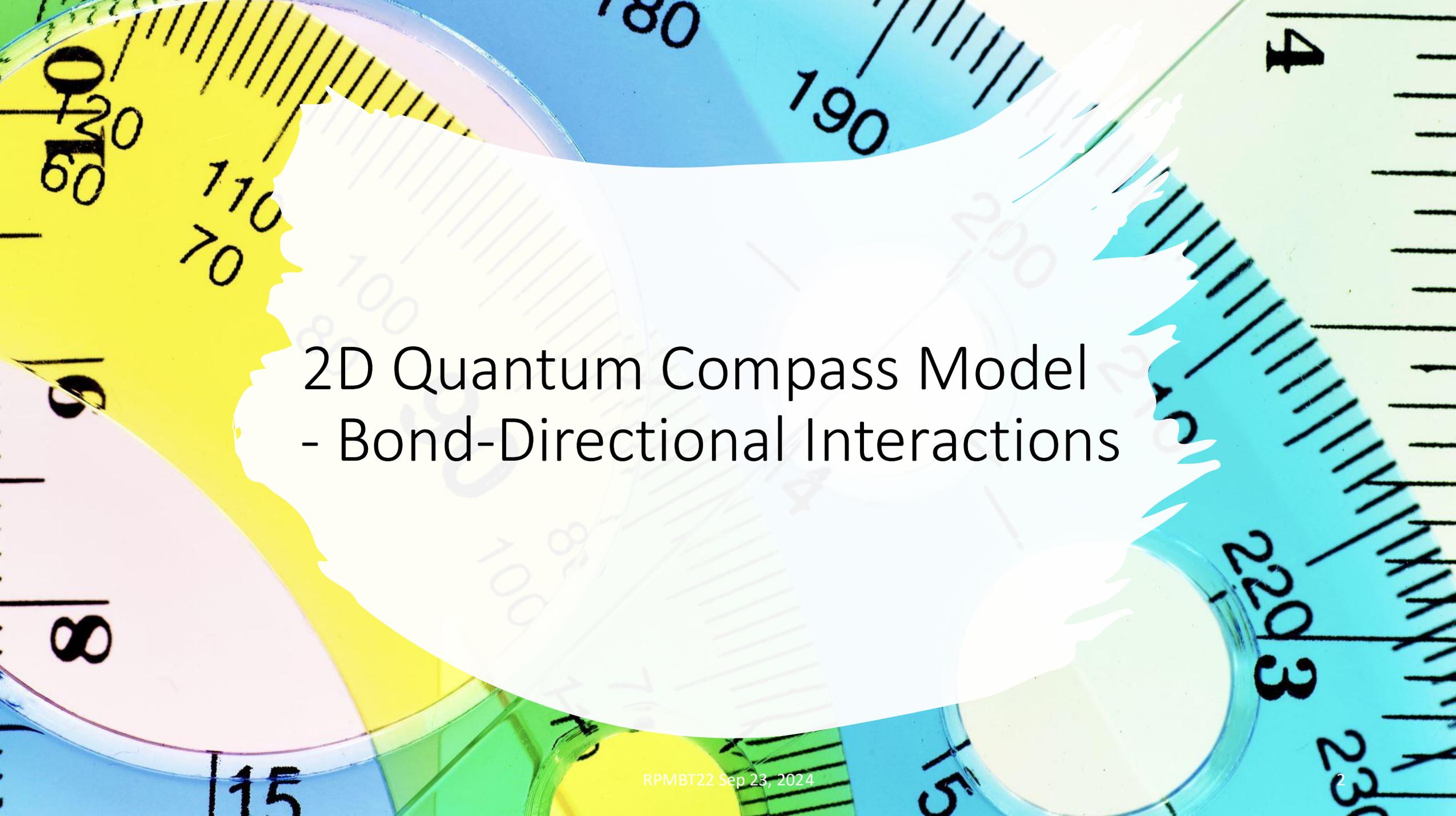


# Exact Field Induced Ground States of the Quantum Compass Model

Erik S. Sørensen, McMaster University  
Canada

- ADS Richards - McMaster
- ADS Richards, ESS, PRB 109, L241116 (2024), arXiv:2310.01384
- ESS, J. Ridell, H.-Y. Kee, Phys. Rev. Research 5, 013210 (2023)
- ESS, J. Gordon, J. Ridell, T. Yang, H.-Y. Kee, Phys. Rev. Research 5, L012027 (2023)

The background features a colorful protractor with segments in yellow, blue, green, and pink. A white, curved, irregular shape is overlaid on the protractor, framing the central text. The protractor has numerical markings and tick marks.

# 2D Quantum Compass Model - Bond-Directional Interactions

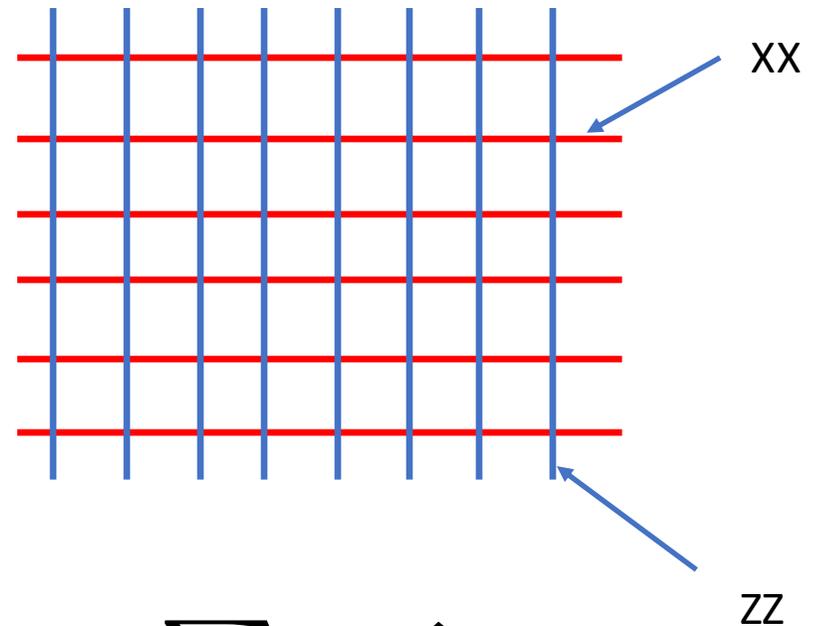
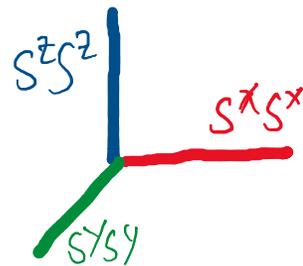
# Directional Interactions

Early Eighties: Compass Models

I. Kugel' and D. I. Khomskii, Superexchange ordering of degenerate orbitals and magnetic structure of dielectrics with jahn–teller ions, JETP Letters 15, 446 (1972).

Review: Compass models.  
Z. Nussinov, J.v.d. Brink  
RMP 87, 1 (2015)

Ising interactions that depend on the bond direction



$$\mathcal{H} = J \sum_{\mathbf{r}} (\hat{S}_{\mathbf{r}}^x \hat{S}_{\mathbf{r}+e_x}^x + \hat{S}_{\mathbf{r}}^z \hat{S}_{\mathbf{r}+e_z}^z) - \sum_{\mathbf{r}} \mathbf{h} \cdot \hat{\mathbf{S}}_{\mathbf{r}}.$$

# Conserved Quantities

$$\mathcal{H} = J \sum_{\mathbf{r}} (\hat{S}_{\mathbf{r}}^x \hat{S}_{\mathbf{r}+e_x}^x + \hat{S}_{\mathbf{r}}^z \hat{S}_{\mathbf{r}+e_z}^z) - \sum_{\mathbf{r}} \mathbf{h} \cdot \hat{\mathbf{S}}_{\mathbf{r}}.$$

$J > 0$ , matters for non-zero  $\mathbf{h}$

$$P_j = \prod_i \sigma_{i,j}^z \quad Q_i = \prod_j \sigma_{i,j}^x$$

Row product

Column product

Nussinov, Ortiz, Cobanera, Ann. Phys. 2012  
Equivalent to Xu-Moore model  
(Nussinov, Fradkin PRB 2005)

Which can be mapped to the toric  
code in a transverse field  
(Vidal et al, PRB 2009)

All eigen-states are at least 2-fold degenerate. In thermodynamic limit GS is  $2 \times 2^L$  degenerate.

Quantum Compass Models (sq lattice) Dorier, Becca, Mila PRB **72**, 024448 (2005). Doucot et al PRB (2005)

Why should we care ?

# Toric Code

Fault-tolerant quantum computation by anyons

A.Yu. Kitaev\*

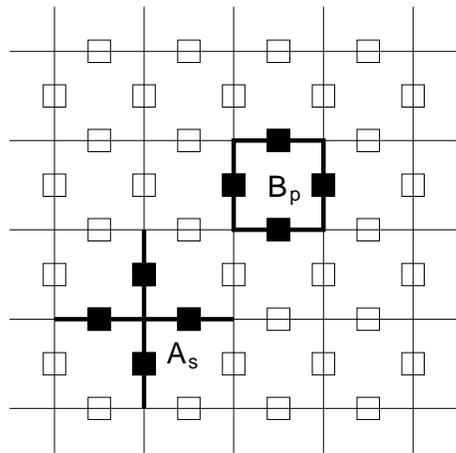
L.D. Landau Institute for Theoretical Physics, 117940, Kosygina St. 2, Germany

Received 20 May 2002

Abstract

A two-dimensional quantum system with anyonic excitations can be considered as a quantum computer. Unitary transformations can be performed by moving the excitations around each other. Measurements can be performed by joining excitations in pairs and observing the result of fusion. Such computation is fault-tolerant by its physical nature.

Annals of Physics 303 (2003) 2–30



$$H = -J_c \sum_s A_s - J_m \sum_p B_p$$

$$A_s = \prod_{j \in \text{star}} \sigma_j^x$$

$$B_p = \prod_{j \in \partial p} \sigma_j^z$$

Kugel', Khomskii, Sov. Phys Uspekhi (1982)

# Kitaev Honeycomb Model

Anyons in an exactly solved model and beyond

Alexei Kitaev\*

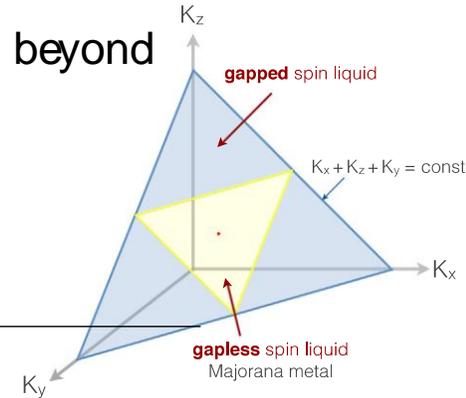
California Institute of Technology, Pasadena, CA 91125, USA

Received 21 October 2005; accepted 25 October 2005

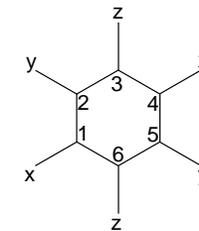
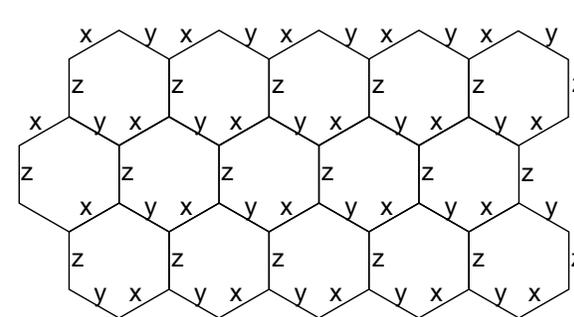
Abstract

A spin-1/2 system on a honeycomb lattice is studied. The interactions between nearest neighbors are of XX, YY or ZZ type, depending on the direction of the link; different types of interactions may differ in strength. The model is solved exactly by a reduction to free fermions in a static Z<sub>2</sub> gauge

Annals of Physics 321 (2006) 2–111



$$H = J_x \sum_{x \text{ links}} S_j^x S_k^x + J_y \sum_{y \text{ links}} S_j^y S_k^y + J_z \sum_{z \text{ links}} S_j^z S_k^z$$



$$W_p = \sigma_1^x \sigma_2^y \sigma_3^z \sigma_4^x \sigma_5^y \sigma_6^z$$

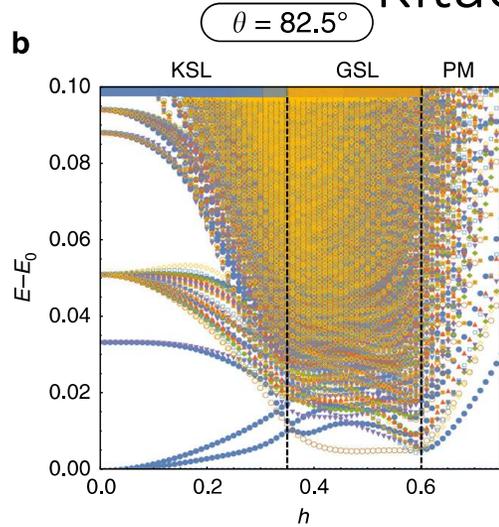
$$[H, W_p] = 0$$

Quantum Compass Models (sq lattice) Dorier, Becca, Mila PRB **72**, 024448 (2005). Doucot et al PRB (2005)

# Kitaev Honeycomb

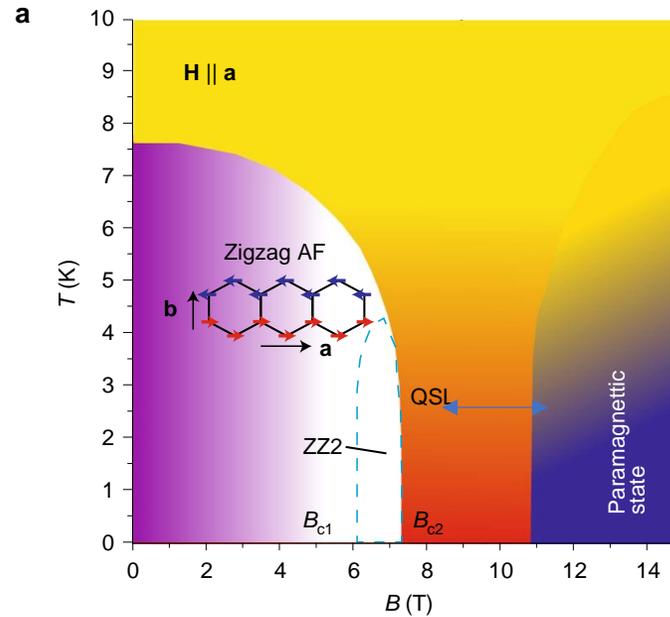
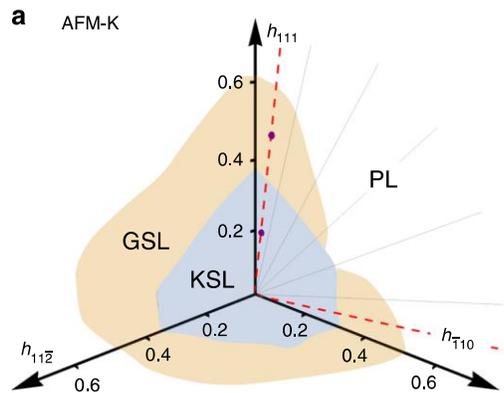
# $\alpha$ -RuCl<sub>3</sub>

## Experiments



Hickey, Trebst, Nat. Phys. (2019).

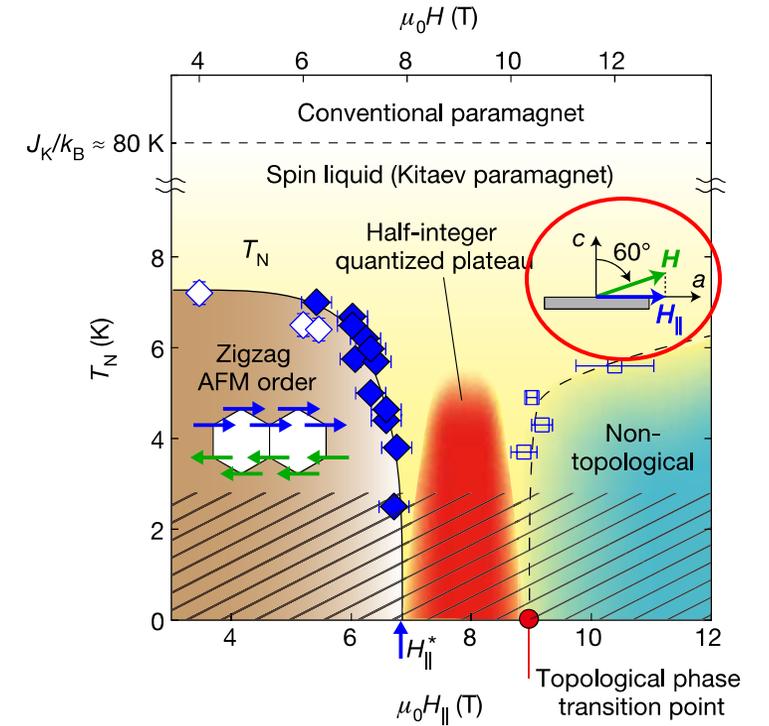
## Numerics



Czajka et al Nature Physics, 17, 915 (2021)

ArXiv:2201.0787

“incompatible with half-quantization of  $kxy/T$ ”



Kasahara, Nature 559, 227 (2018)

PRL 102, 017205 (2009)

PHYSICAL REVIEW LETTERS

week ending  
9 JANUARY 2009

### Mott Insulators in the Strong Spin-Orbit Coupling Limit: From Heisenberg to a Quantum Compass and Kitaev Models

G. Jackeli<sup>1,\*</sup> and G. Khaliullin<sup>1</sup>

<sup>1</sup>Max-Planck-Institut für Festkörperforschung, Heisenbergstrasse 1, D-70569 Stuttgart, Germany  
(Received 21 August 2008; published 6 January 2009)

Quantized (or not quantized) thermal hall effect..  
PA Lee [www.condmatjclub.org](http://www.condmatjclub.org) Nov 2021

# Zhou et al ArXiv:2201.04597, Nat Commun 14, 5613 (2023)

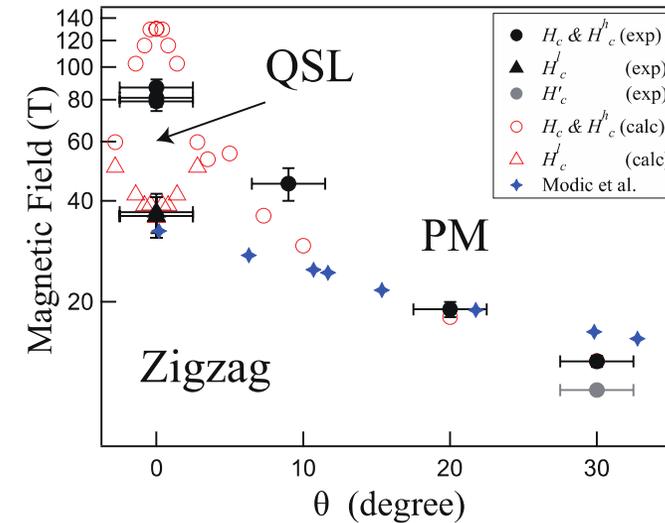
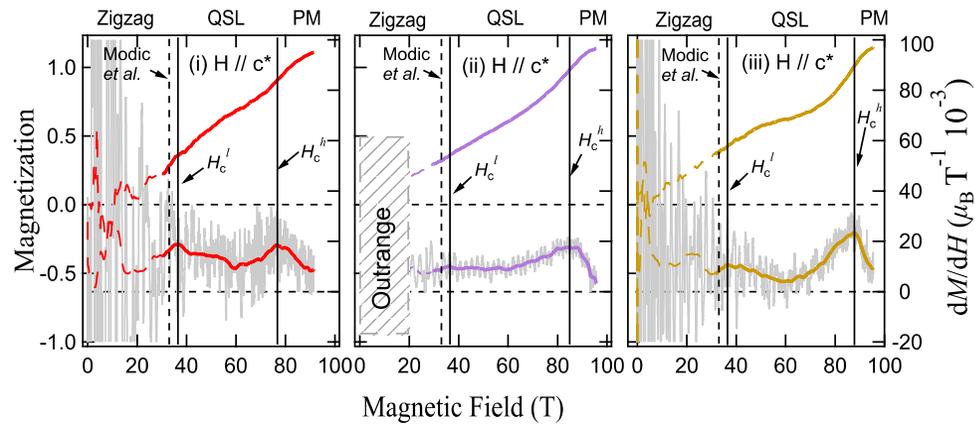


FIG. 4. The field-angle phase diagram that summarizes the values of transition fields determined from both the experimental (black and grey solid markers) and the calculated data (red open ones). We also plot the low-field results (blue stars) taken from Ref. [43] as a supplement. The zigzag antiferromagnetic, paramagnetic (PM), and the quantum spin liquid (QSL) phases are indicated.

# Why should we care ?

2d Quantum compass model is the simplest 2D model with bond-directional interactions

- What phases are possible in a magnetic field
- What excitations.

# The Exact Ground-States

With PBC in can absorb the field term

At  $h_x^* = h_z^* = 2JS$  Write Hamiltonian as

$$\mathcal{H} = J \sum_{\mathbf{r}} (\hat{S}_{\mathbf{r}}^x \hat{S}_{\mathbf{r}+e_x}^x + \hat{S}_{\mathbf{r}}^z \hat{S}_{\mathbf{r}+e_z}^z) - \sum_{\mathbf{r}} \mathbf{h} \cdot \hat{\mathbf{S}}_{\mathbf{r}}$$



$$\mathcal{H} = \mathcal{H}_p - 2NJS^2$$

Only possible due to the  
Bond-directional interactions

$$\mathcal{H}_p = J \sum_{\mathbf{r}} \left[ \underbrace{(S - \hat{S}_{\mathbf{r}}^x) (S - \hat{S}_{\mathbf{r}+e_x}^x) + (S - \hat{S}_{\mathbf{r}}^z) (S - \hat{S}_{\mathbf{r}+e_z}^z)}_{\text{Positive Semidefinite}} \right]$$

Positive Semidefinite

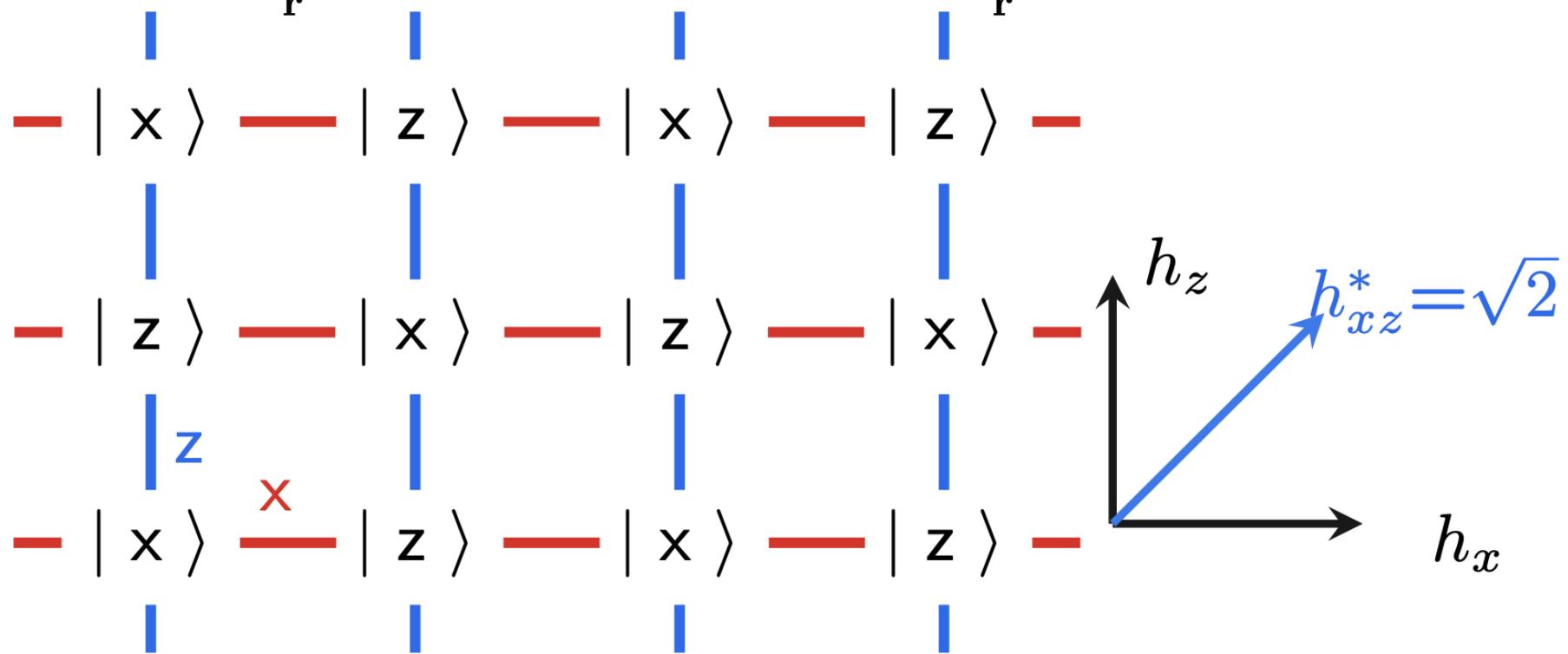
Just need to find states where the positive  $H_p$  gives 0 !!

Decorate the lattice to obtain a classical product state

**Exact Ground-States for PBC**

Only works for low co-ordination  
For instance, not the 3D QCM

$$\mathcal{H} = J \sum_{\mathbf{r}} (\hat{S}_{\mathbf{r}}^x \hat{S}_{\mathbf{r}+e_x}^x + \hat{S}_{\mathbf{r}}^z \hat{S}_{\mathbf{r}+e_z}^z) - \sum_{\mathbf{r}} \mathbf{h} \cdot \hat{\mathbf{S}}_{\mathbf{r}}$$



2 degenerate classical product states should occur for any value of  $S=1/2, 1, 3/2, 2, \dots$  at  $h^*$   
 For a  $L_x$  by  $L_z$  lattice exact for finite lattice with  $L_x$  and  $L_z$  even !

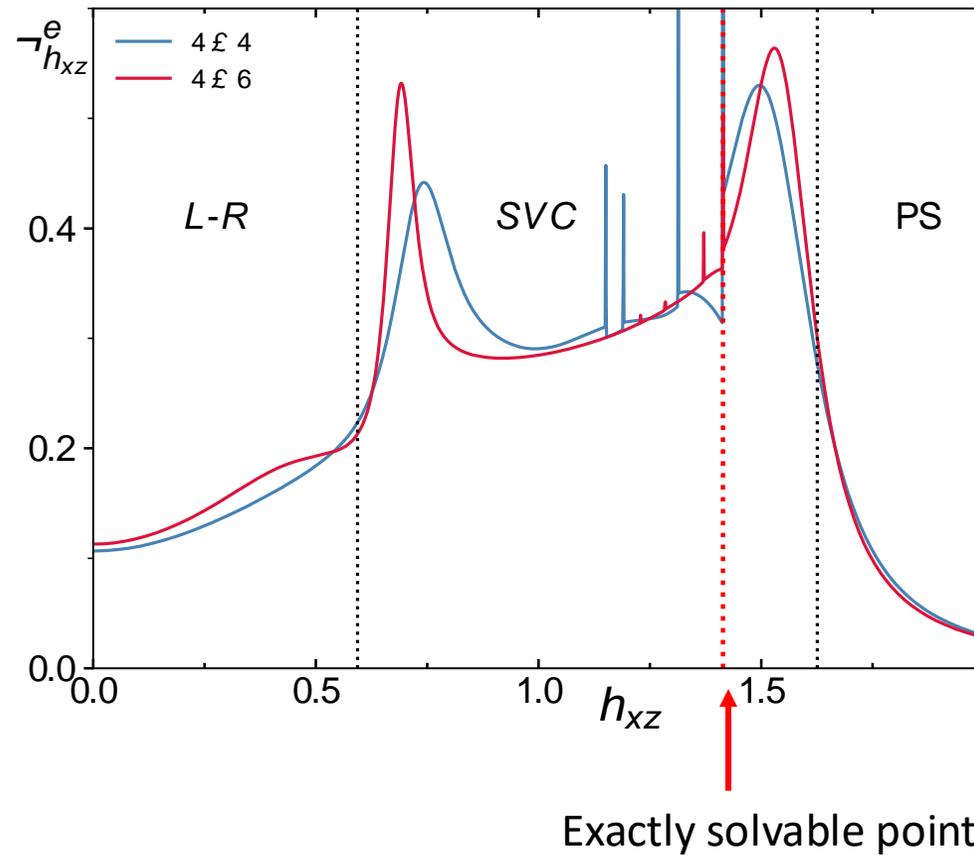
Extreme Ising state

Zero entanglement

Coupling Term is cancelled

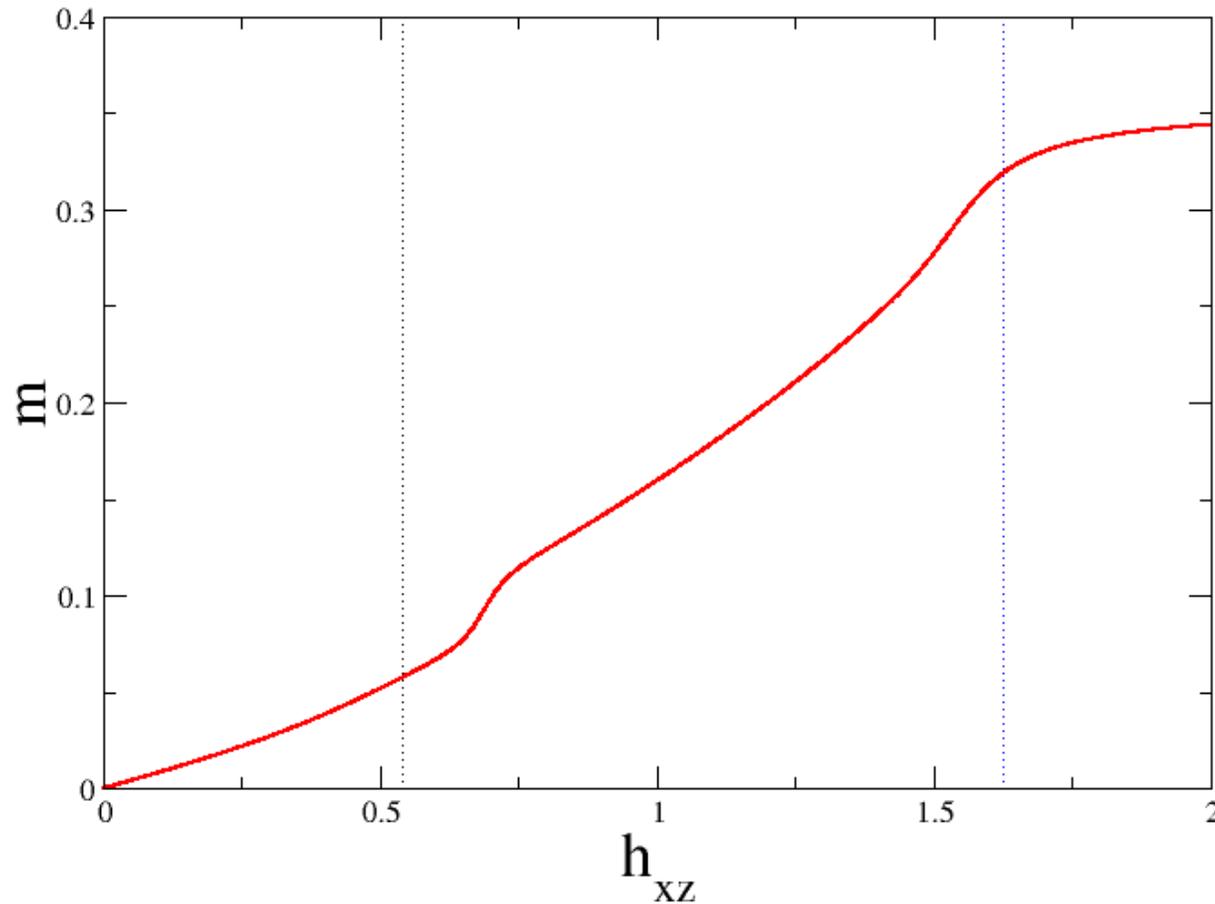
## 2D Quantum Compass model in-plane magnetic field $h_x=h_z$

$$\chi_h^e = -\frac{\partial^2 e_0}{\partial h^2}$$

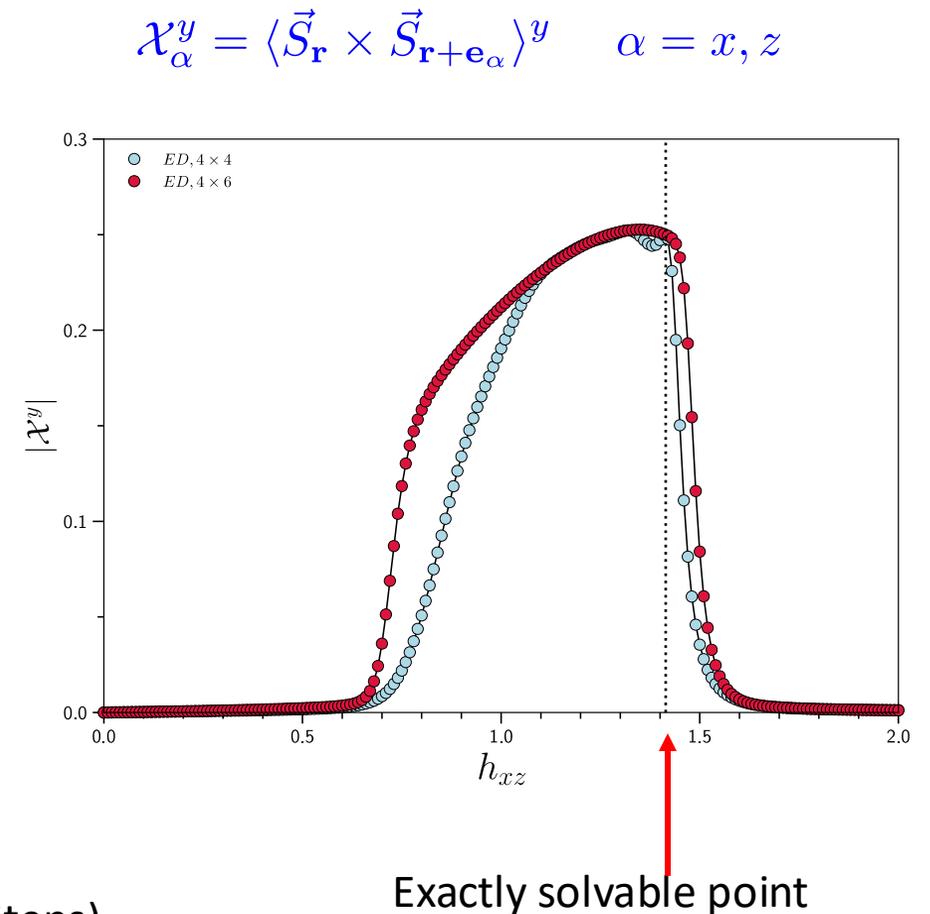


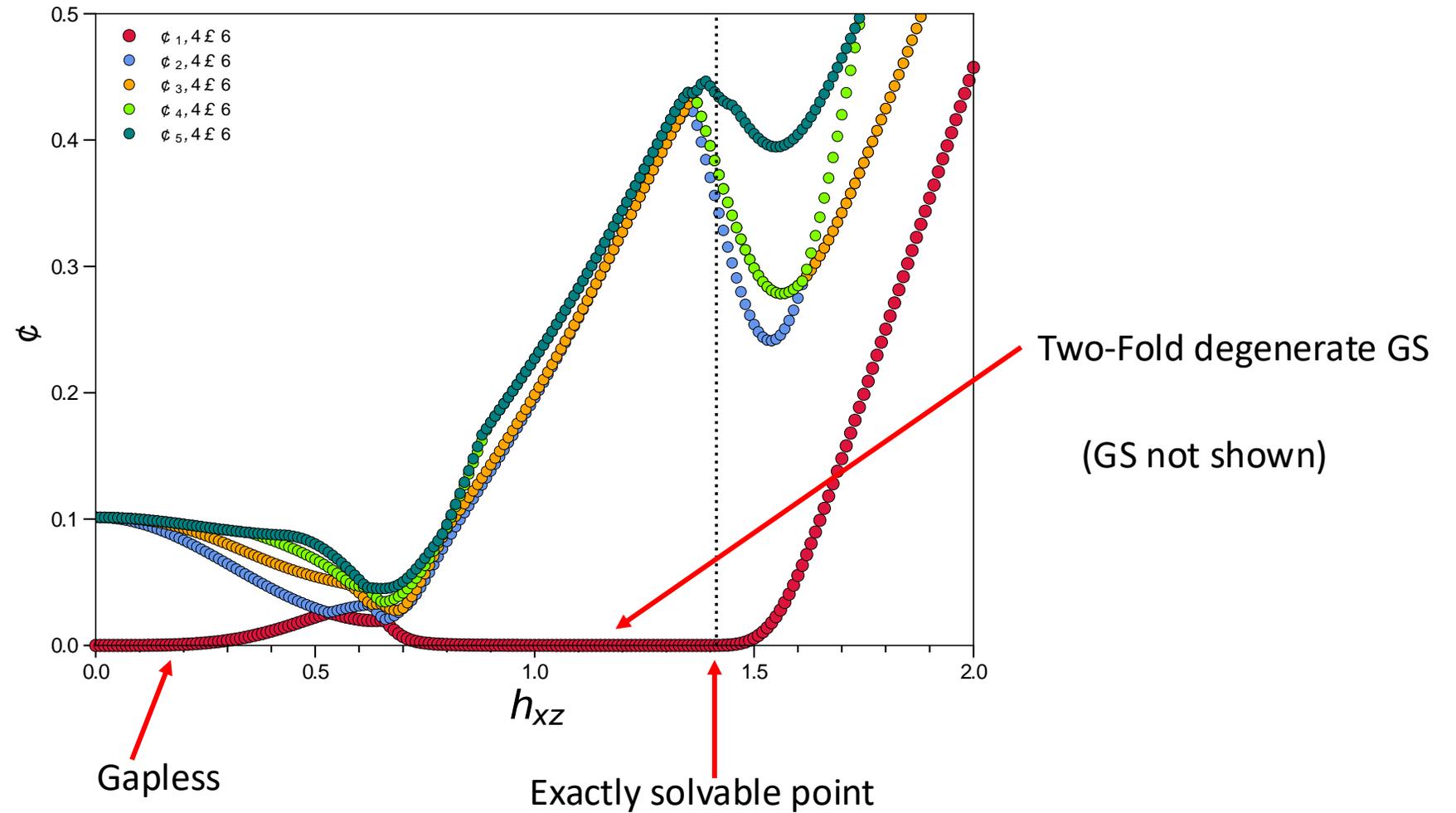
$$\chi^e \sim L^{2/\nu - (d+z)}$$

# Magnetization, staggered vector chirality



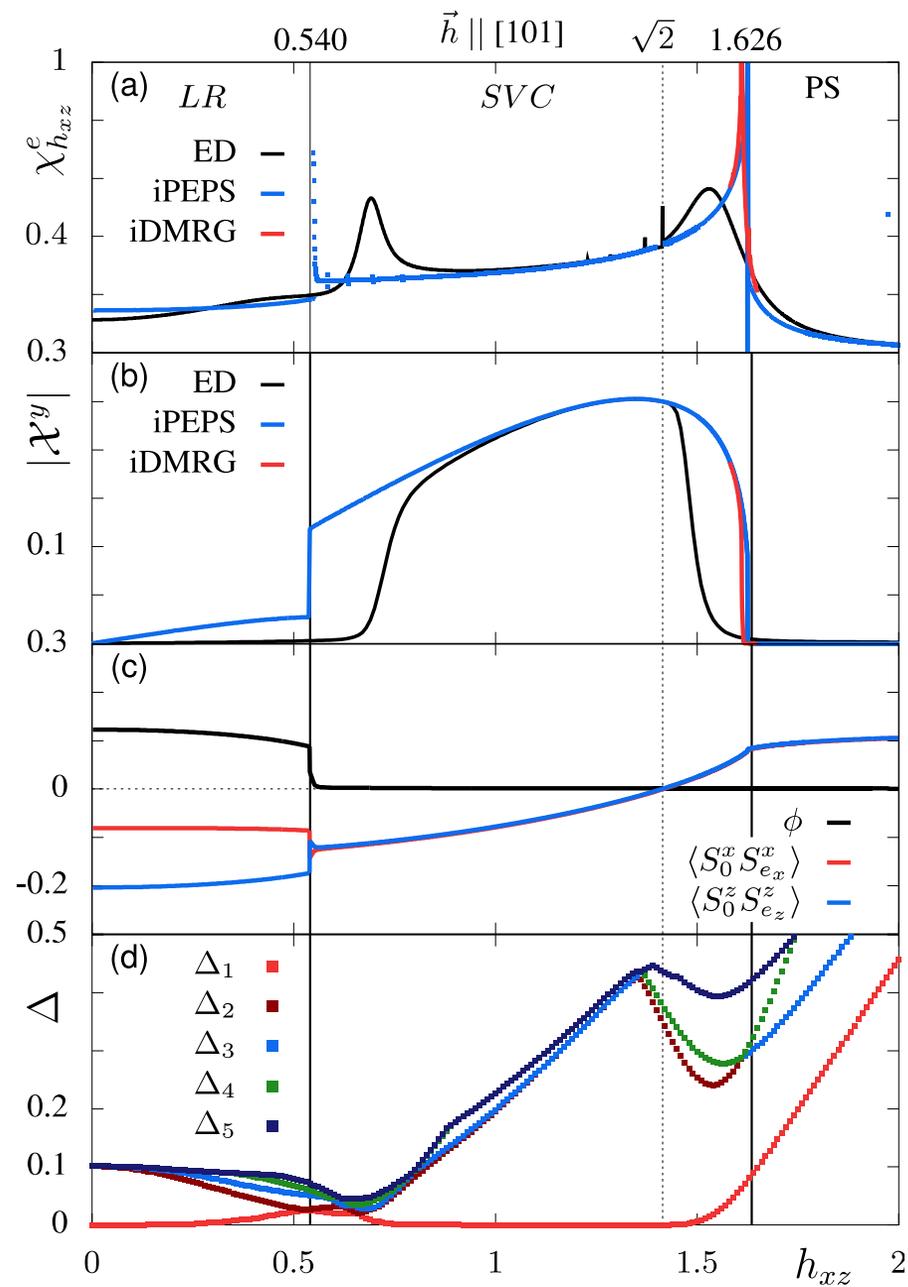
Magnetization versus in-plane field for a 4x6 periodic lattice. (no Steps)



ED Energy Gaps 2D Quantum Compass models  $h_x=h_z=h_{xz}$ 

# iPEPS Results

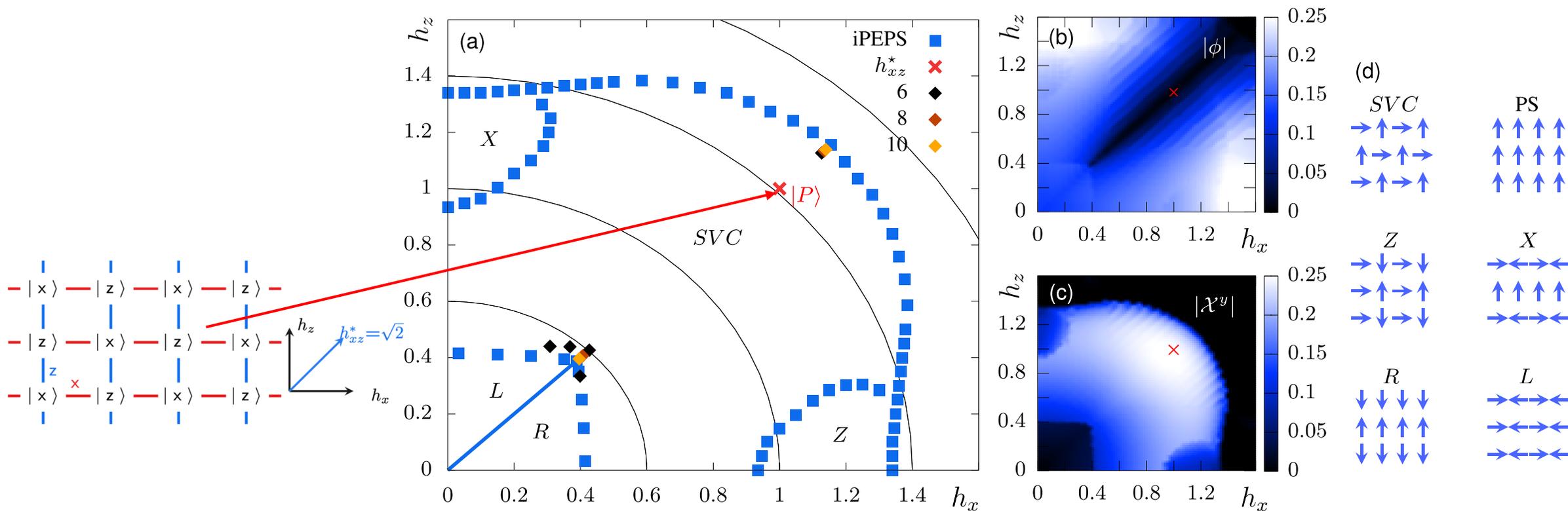
Y. Motoyama, T. Okubo, K. Yoshimi, S. Morita, T. Kato, N. Kawashima,  
 TeNeS: Tensor network solver for quantum lattice systems, Computer Physics Communications, **279** (2022)



Bond Correlators

## 2D Quantum Compass Model

$$\mathcal{H} = J \sum_{\mathbf{r}} (\hat{S}_{\mathbf{r}}^x \hat{S}_{\mathbf{r}+e_x}^x + \hat{S}_{\mathbf{r}}^z \hat{S}_{\mathbf{r}+e_z}^z) - \sum_{\mathbf{r}} \mathbf{h} \cdot \hat{\mathbf{S}}_{\mathbf{r}}.$$



Excitations: What can we learn from  
one Dimension = Kitaev **Spin** Chain

# Kitaev Honeycomb Model

Anyons in an exactly solved model and beyond

Alexei Kitaev \*

California Institute of Technology, Pasadena, CA 91125, USA

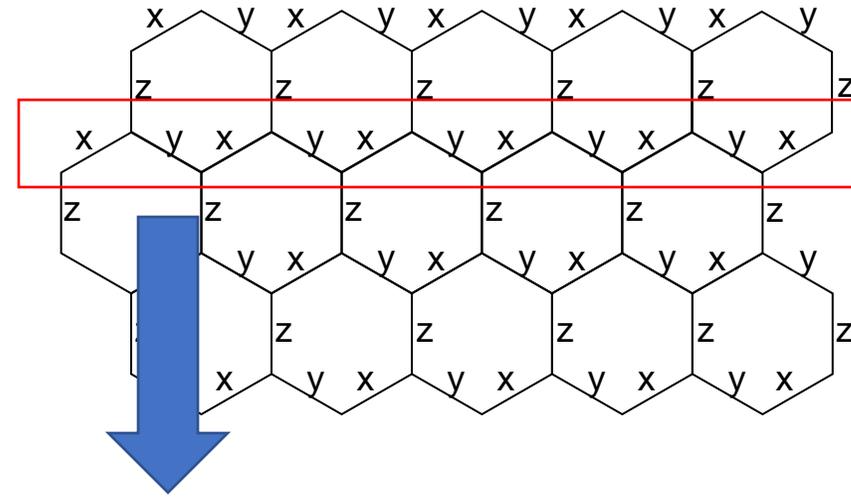
Received 21 October 2005; accepted 25 October 2005

## Abstract

A spin-1/2 system on a honeycomb lattice is studied. The interactions between nearest neighbors are of XX, YY or ZZ type, depending on the direction of the link; different types of interactions may differ in strength. The model is solved exactly by a reduction to free fermions in a static  $Z_2$  gauge

Annals of Physics 321 (2006) 2–111

$$H = J_x \sum_{x \text{ links}} S_j^x S_k^x + J_y \sum_{y \text{ links}} S_j^y S_k^y + J_z \sum_{z \text{ links}} S_j^z S_k^z$$



$$H = K \sum_j \left( S_{2j+1}^x S_{2j+2}^x + S_{2j+2}^y S_{2j+3}^y \right) - \sum_j \mathbf{h} \cdot \mathbf{S}_j$$

$$\mathbf{h} = h(\cos \phi_{xy} \cos \theta_z, \sin \phi_{xy} \cos \theta_z, \sin \theta_z)$$

$\mathbf{h}=0$  and  $\mathbf{h}=(0,0,h)$  solvable. No phase transitions

# Phase Diagram, $S=1/2$

Vector Chirality

$$X^{\leftarrow} = (-1)^j h(S_j \rightarrow S_{j+1})^{\leftarrow}$$

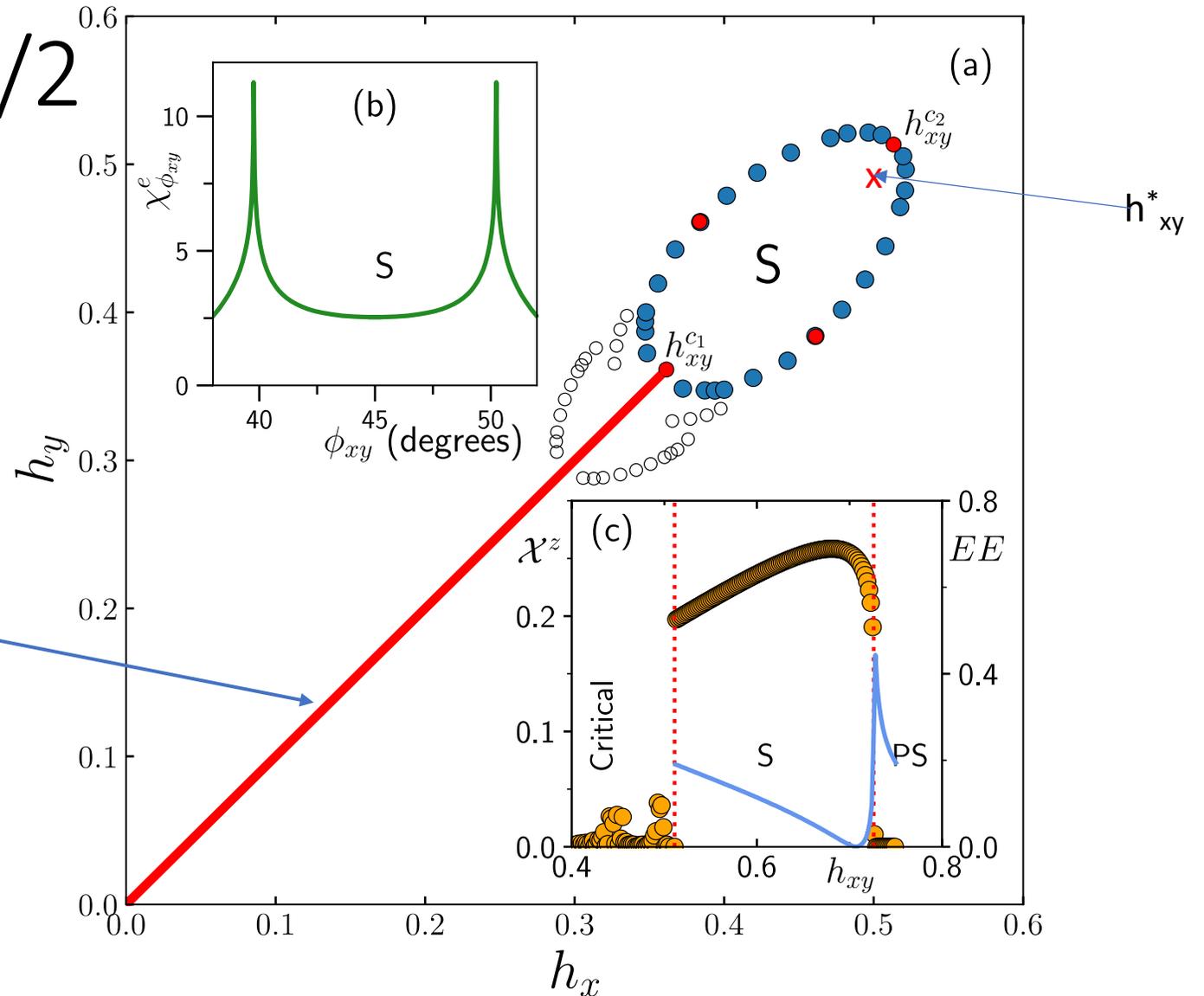
Critical line

$$R^{xy} = \exp(i \hat{n} \cdot (S^x + S^y) / \sqrt{2})$$

Non-symmorphic  
symmetry (Glide-line)

$$R^{xy} \boxtimes T$$

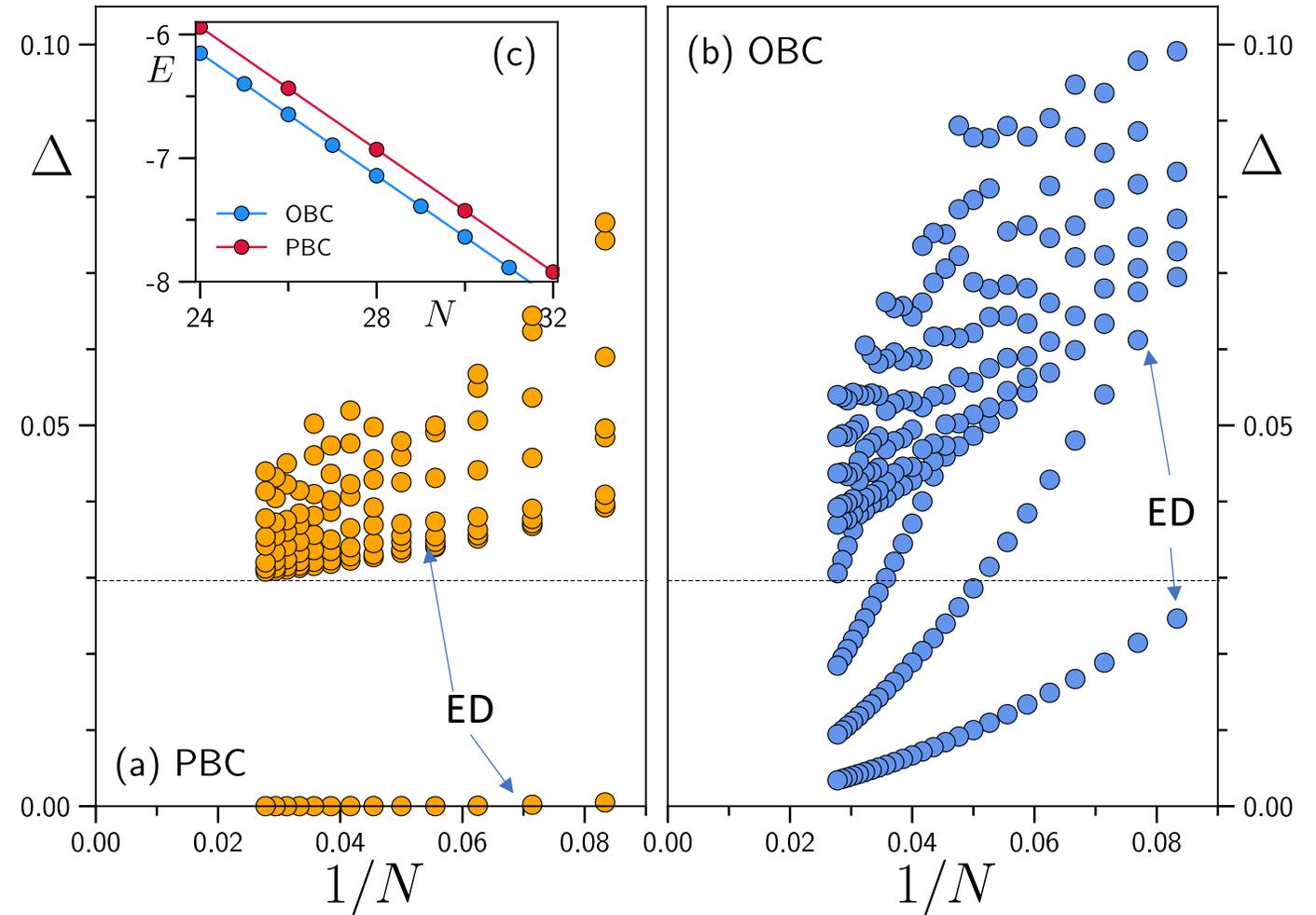
S-phase also extends out of the  $h_x, h_y$  plane



## Unusual Spectrum from ED

- PBC is gapped
- OBC appears gapless
- OBC **lowers** the energy !
- $h_{xy}=0.7$  Inside Soliton Phase

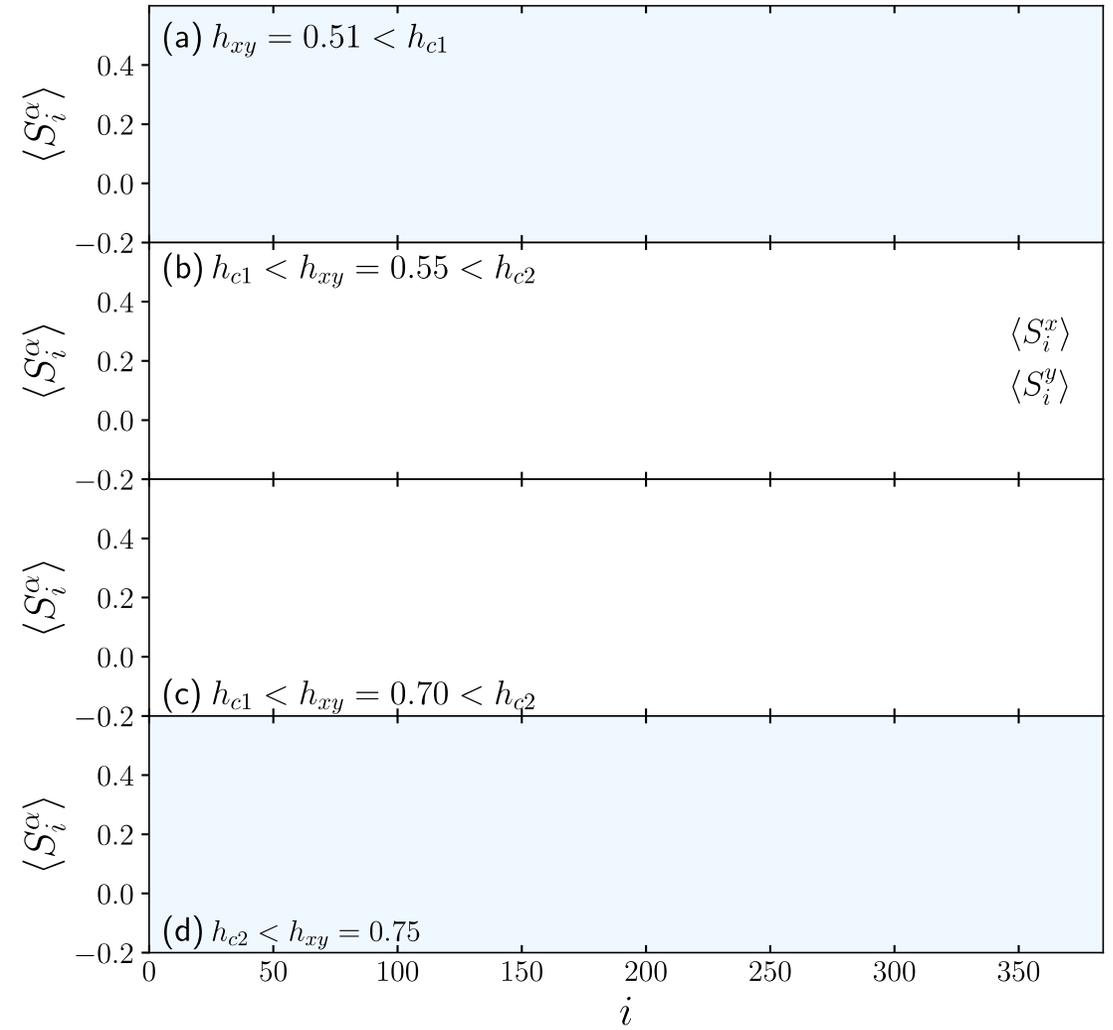
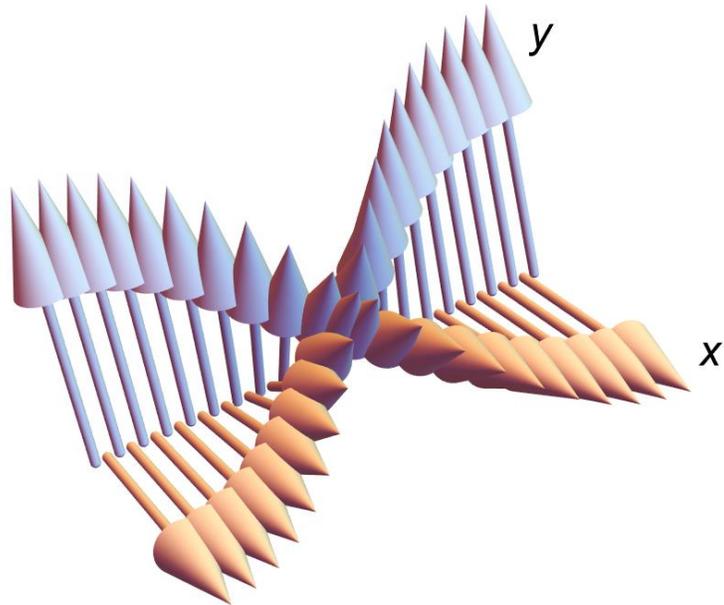
Gap for PBC is  $\sim 0.03$  K



# Solitons: OBC

Topological Soliton, connecting 2 degenerate ground-states

- Only every second point is shown
- DMRG Results – Almost Exact



# Variational Picture

Removed YY-Bond !

$$|b\rangle = |YX \dots \nearrow_i \dots XY\rangle$$

$$S_i^x S_{i+1}^x \quad S_{i+1}^y S_{i+2}^y$$

Soliton

Asymmetry

Lowers Energy

$$|\psi_b(i)\rangle = |y \text{---} x \text{---} \boxed{y \text{---} \nearrow_i \text{---} x} \text{---} y \text{---} x \text{---} y \text{---} x \text{---} y\rangle,$$

$$|\psi_b(i)\rangle = |y \text{---} x \text{---} y \text{---} \boxed{x \text{---} \nearrow_i \text{---} y} \text{---} x \text{---} y \text{---} x \text{---} y\rangle,$$

At  $h^*$  all bond operators are 0  
Zeeman term on site  $l$  lowers energy

$$\langle X | \underline{S^y S^y} | \nearrow \rangle = 0$$

$$\langle Y | \underline{S^x S^x} | \nearrow \rangle = 0$$

$$|B\rangle = |XY \dots \nearrow_i \dots YX\rangle \quad \text{Anti-Soliton}$$

Raises Energy more

$$|\psi_B(i)\rangle = |x \text{---} y \text{---} \boxed{x \text{---} \nearrow_i \text{---} y} \text{---} x \text{---} y \text{---} x \text{---} y \text{---} x\rangle,$$

$$|\psi_B(i)\rangle = |x \text{---} y \text{---} x \text{---} \boxed{y \text{---} \nearrow_i \text{---} x} \text{---} y \text{---} x \text{---} y \text{---} x\rangle,$$

bond operators  $[i-1,i]$  and  $[i,i+1]$

Very costly, Zeeman term on

site  $l$  cannot compensate

Remember all bond interactions are AF

# Variational Subspace OBC

Watch Out !



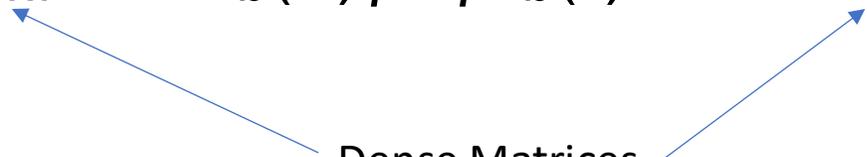
$$|\Psi_b\rangle = \sum_{k=1}^N a_k |b(k)\rangle, \quad |\Psi_B\rangle = \sum_{l=2}^{N-1} g_l |B(l)\rangle$$

J1-J2 chain: Shastry, Sutherland, Phys. Rev. Lett. **47**, 964 (1981)

Basis states are non-orthogonal: Generalized eigenvalue problem

$$H_{kl} = \langle b(k) | H | b(l) \rangle \text{ and } M_{kl} = \langle b(k) | b(l) \rangle,$$

Dense Matrices



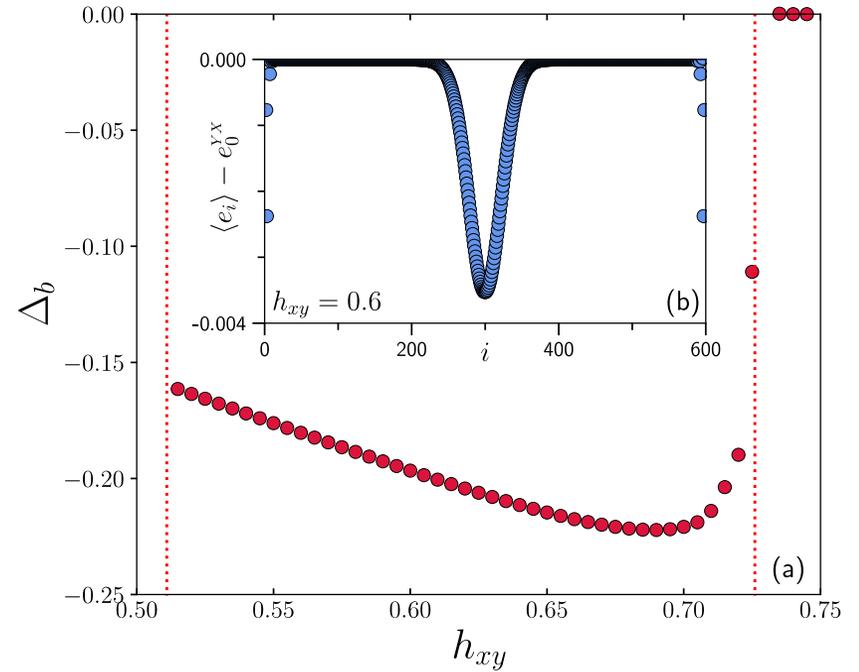
# The Soliton Masses: From Variational and DMRG Calculations.

$$\Delta_b = \hat{h}_{e_i} (e_i - e_0^{\text{bulk}})$$

$$\Delta_b < 0 \quad \Delta_B > 0$$



$$\Delta_b + \Delta_B > 0$$



**Spin Gap for PBC**

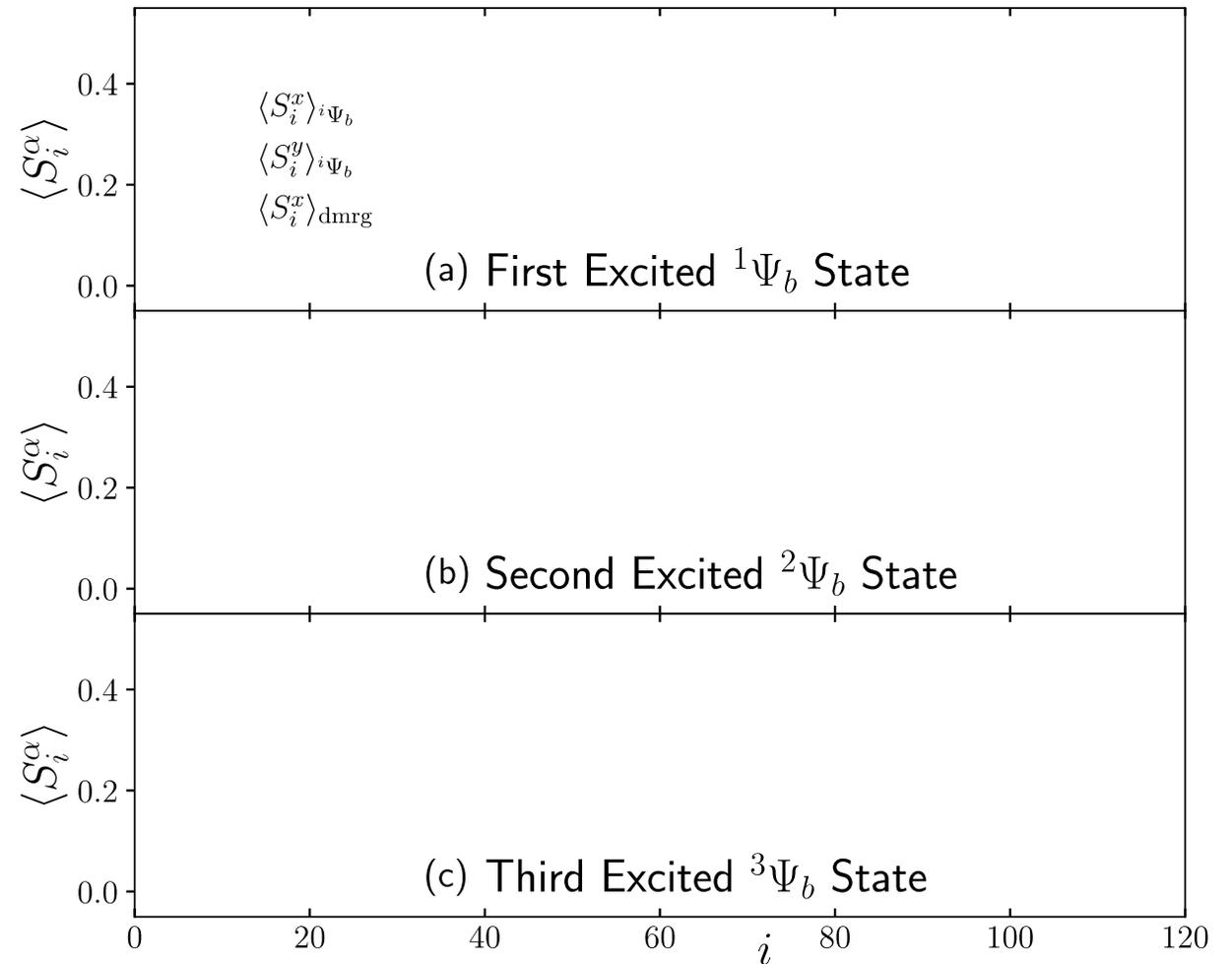
$h_{xy}=0.7 \quad \Delta_b = -0.2044K \quad \Delta_B = 0.2455K$  Compared to DMRG  $\Delta_{\text{PBC}} = 0.02962K$

$\Delta_{\text{PBC}}^{\text{Var}} \sim 0.04K$

# Excited States OBC

$h_{xy}=0.7$  Inside Soliton Phase

Low Energy Excitations for OBC



# Two Soliton bB states for PBC

$$|{}_{bB}(i, j)\rangle = | \underbrace{y^0 - \%_i - x^0}_{\text{blue}} - y^0 - x^0 - \underbrace{y^0 - \%_j - x^0}_{\text{red}} - y^0 - x^0 |$$

$$|{}_{bB}i\rangle = \sum_{i \in j} \chi_{i,j} |{}_{bB}(i, j)\rangle$$

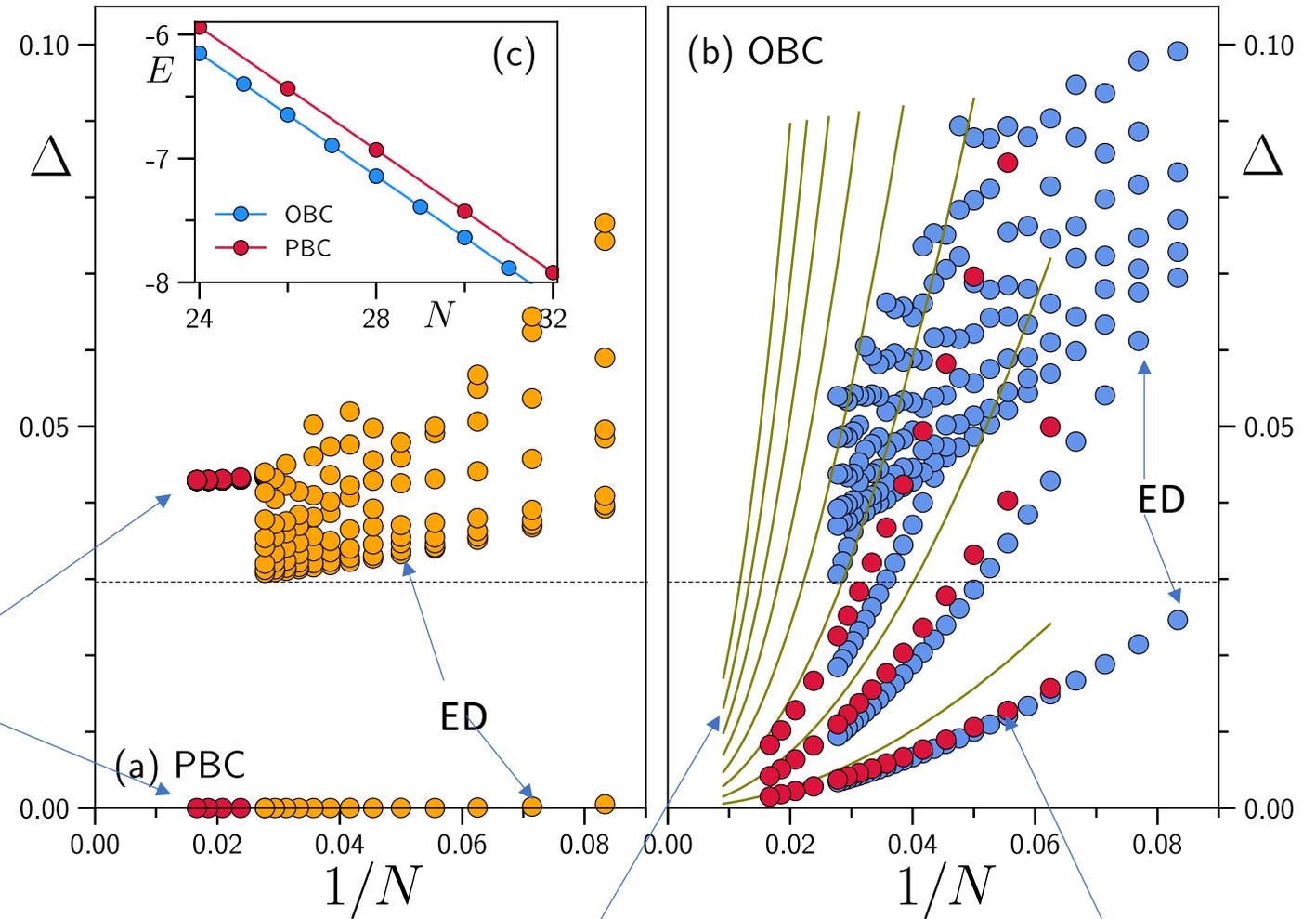
J1-J2 chain: Shastry, Sutherland, Phys. Rev. Lett. **47**, 964 (1981)

Variational Results : Gap with PBC

## Unusual Spectrum from ED

- PBC is gapped
- OBC appears gapless
- OBC **lowers** the energy !
- $h_{xy}=0.7$  Inside Soliton Phase

2 soliton bB



1 soliton b states

1+2 soliton b states

- Exact Ground-States in a field
- Surrounding Gapped Phase
- Single Soliton ground-states in 1D with OBC
- Thanks !

Open Questions:

- Experimental Realization  $\text{Ba}_2\text{IrO}_4$  ?
- Rigorous proof of Gap ?
- Excitations (Generalization of Solitons ?)

- [ADS. Richards, ESS, arXiv:2310.01384](#)
- ESS, J. Ridell, H.-Y. Kee, Phys. Rev. Research 5, 013210 (2023)
- ESS, J. Gordon, J. Ridell, T. Yang, H.-Y. Kee, Phys. Rev. Research 5, L012027 (2023)
- ESS, A Catuneanu, JS Gordon, HY Kee Physical Review X 11 (1), 011013 (2021)