

# Variational Theory and Parquet Diagrams for Nuclear Systems A Comprehensive Study of Neutron Matter

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  - Ladders
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# Microscopic Many-Body Theory

Hamiltonian, wave functions, observables

Postulate:

① Hamiltonian  $H = - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 + \sum_i V_{\text{ext}}(i) + \sum_{i < j} V(i, j)$

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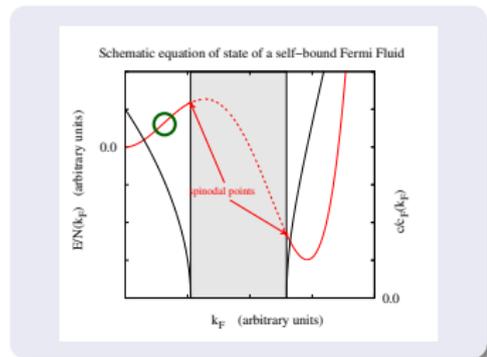
- Electrons
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We want a “robust” method that is independent of the interactions  
We not only want the right answer but also the underlying physical mechanisms

# The equation of state of a self-bound Fermi system

## A close look

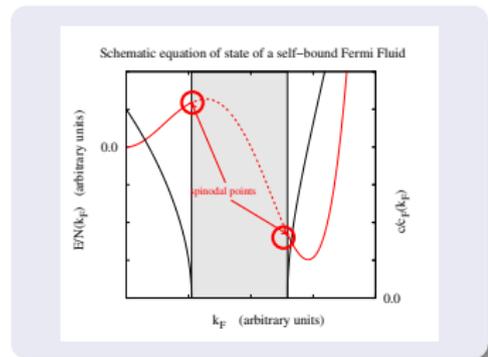
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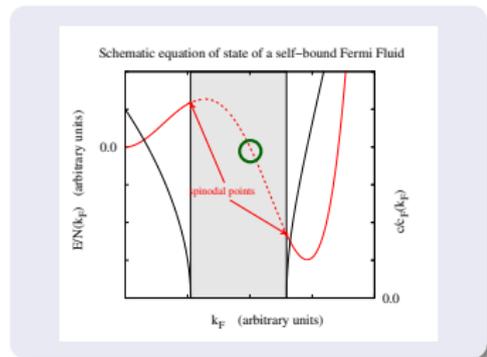
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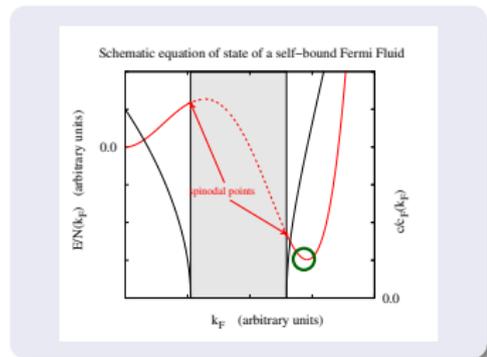
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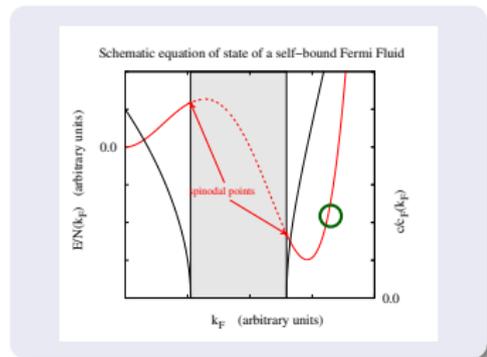
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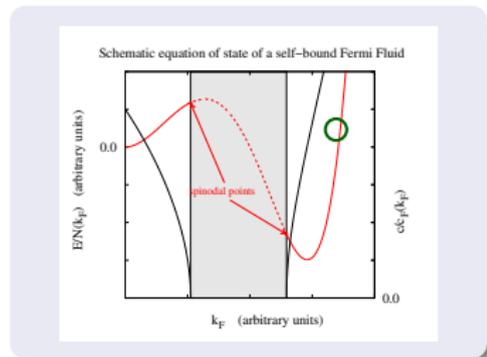
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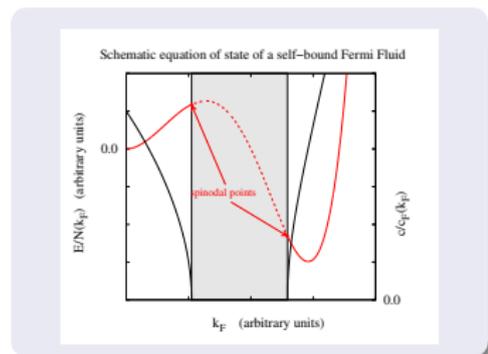
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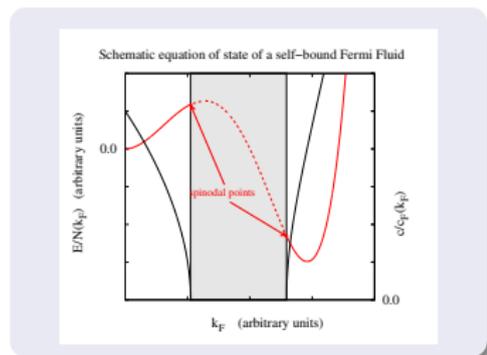


The equation of state is a non-analytic function of the coupling constant in the grey-shaded area

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The equation of state is a non-analytic function of the coupling constant in the grey-shaded area

Order-by-order perturbation theory does not work !

# Simple interactions for Bosons

<sup>4</sup>He,  $\alpha$ -matter...

$$V = \sum_{i < j} V(|r_i - r_j|)$$

## Optimized Variational Method (Feenberg 1969)

- Wave function  $\Psi_0(1, \dots, N) = \prod_{i < j} f(|r_i - r_j|)$  .
- Hypernetted Chain summations
- Optimization  $\frac{\delta}{\delta f(r)} \frac{\langle \Psi_0 | H | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} = 0$

## Local Parquet Diagram Summations (Jackson Lande Smith 1983)

- Sum all rings and ladders self-consistently
- Local Approximations

These meet the above requirements  
and lead to the same set of equations !

# Simple interactions for Fermions

$^3\text{He}$ , electrons

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- Wave function  $\Psi_0(1, \dots, N) = \prod_{i < j} f(|r_i - r_j|) \Phi_0(1, \dots, N)$ .
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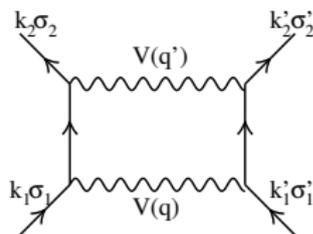
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- Sum all rings and ladders self-consistently
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These meet the above requirements  
Equivalence established for ladders, rings, and self-energy insertions

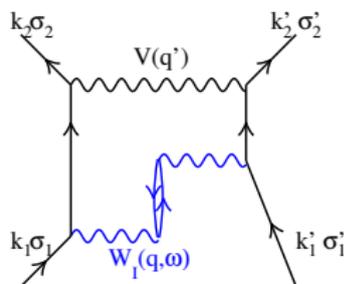
# Localization procedure

- Bethe Goldstone ladders



# Localization procedure

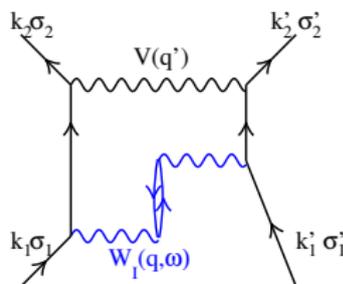
- Bethe Goldstone ladders
- Add induced interaction  $\hat{W}(q, \omega)$



$$\tilde{W}(q, \omega) = \frac{\tilde{V}_{p-h}(q)}{1 - \chi_0(q, \omega)V_{p-h}(q)}$$

# Localization procedure

- Bethe Goldstone ladders
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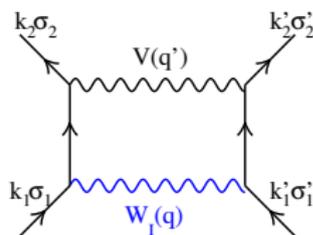


$$\tilde{W}(q, \omega) = \frac{\tilde{V}_{p-h}(q)}{1 - \chi_0(q, \omega)V_{p-h}(q)}$$

$$S(q) = - \int_0^\infty \frac{d\hbar\omega}{\pi} \Im \left[ \chi_0(q, \omega) + \chi_0^2(q, \omega) \tilde{W}(q, \omega) \right]$$

# Localization procedure

- Bethe Goldstone ladders
- Add induced interaction  $\hat{W}(q, \omega)$ , calculate  $S(q)$
- Energy **independent** induced interaction



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$$\stackrel{!}{=} - \int_0^\infty \frac{d\hbar\omega}{\pi} \Im \left[ \chi_0(q, \omega) + \chi_0^2(q, \omega) \tilde{W}(q) \right]$$

# The problem of nuclear systems

... where Pandora's box opens

(Non-relativistic) nuclear Hamiltonian:

$$H = - \sum_i \frac{\hbar^2}{2m} \nabla_i^2 + \sum_i V_{\text{ext}}(i) + \sum_{i < j} \hat{V}(i, j)$$

$$\hat{V}(i, j) = \sum_{\alpha} V_{\alpha}(r) O_{\alpha}(i, j)$$

## Operator Basis

$$O_1(i, j; \hat{r}) \equiv O_c = \mathbb{1},$$

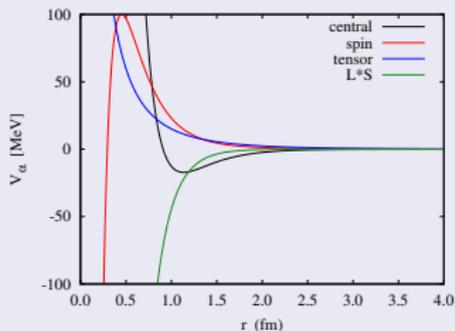
$$O_3(i, j; \hat{r}) \equiv \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j,$$

$$O_5(i, j; \hat{r}) \equiv S(i, j; \hat{r}) \\ \equiv 3(\boldsymbol{\sigma}_i \cdot \hat{r})(\boldsymbol{\sigma}_j \cdot \hat{r}) - \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j$$

$$O_7(i, j; \hat{r}) \equiv L \cdot S$$

$$O_{2n}(i, j; \hat{r}) = O_{2n-1}(i, j; \hat{r}) \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j.$$

## Interactions in operator basis



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## Projector Basis

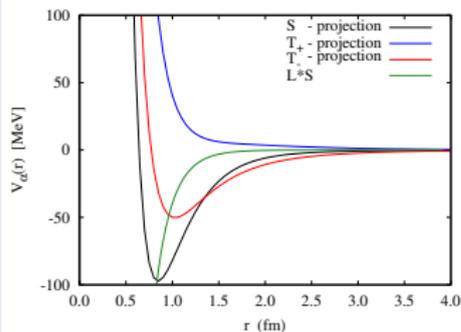
$$P_s = \frac{1}{4} (1 - \sigma_1 \cdot \sigma_2)$$

$$P_{t+} = \frac{1}{6} (3 + \sigma_1 \cdot \sigma_2 + S_{12}(\hat{r}))$$

$$P_{t-} = \frac{1}{12} (3 + \sigma_1 \cdot \sigma_2 - 2S_{12}(\hat{r}))$$

$$O_7 = L \cdot S$$

## Interactions in projector basis



# Dealing with realistic nuclear interactions

A plausible generalization of Jastrow-Feenberg

$$\Psi_0^{\text{SOP}} = \mathcal{S} \left[ \prod_{\substack{i,j=1 \\ i < j}}^N \hat{f}(i,j) \right] \Phi_0 \equiv F_N \Phi_0,$$

Correlation functions:

$$\hat{f}(i,j) = \sum_{\alpha=1}^n f_{\alpha}(r_{ij}) \hat{O}_{\alpha}(i,j),$$

Pair distribution functions:

$$\hat{g}(i,j) = \sum_{\alpha=1}^n g_{\alpha}(r_{ij}) \hat{O}_{\alpha}(i,j),$$

With

$$\rho^2 g_{\alpha}(|r - r'|) = \frac{\langle \Psi_0^{\text{SOP}} | \sum_{i < j} \delta(r - r_i) \delta(r' - r_j) \hat{O}_{\alpha}(i,j) | \Psi_0^{\text{SOP}} \rangle}{\frac{1}{\nu^2} \mathcal{T}_{r_{12}} \hat{O}_{\alpha}^2(1,2) \langle \Psi_0^{\text{SOP}} | \Psi_0^{\text{SOP}} \rangle}.$$

# The problem of variational wave functions

A technical nuisance ?

With symmetrization, the pair distribution functions have the form

$$g_{\alpha}(\mathbf{r}) = \sum_{\beta\gamma} f_{\beta}(\mathbf{r})f_{\gamma}(\mathbf{r})F_{\beta\gamma}^{(\alpha)}(\mathbf{r}). \quad \{\alpha, \beta, \gamma\} \in \{\text{S}, \text{T}_+, \text{T}_t\}$$

The coefficients  $F_{\beta\gamma}^{(\alpha)}(\mathbf{r})$  are **not diagonal in the indices  $\alpha, \beta, \gamma$** .

There is no systematic way to calculate these to infinite order.

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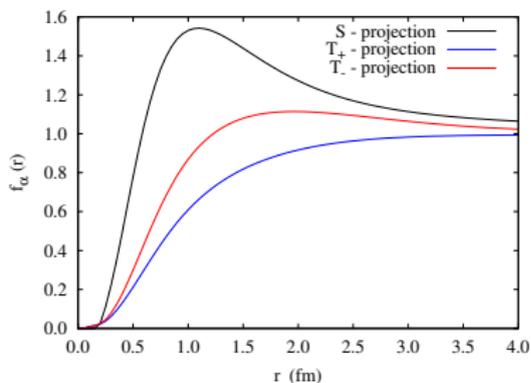
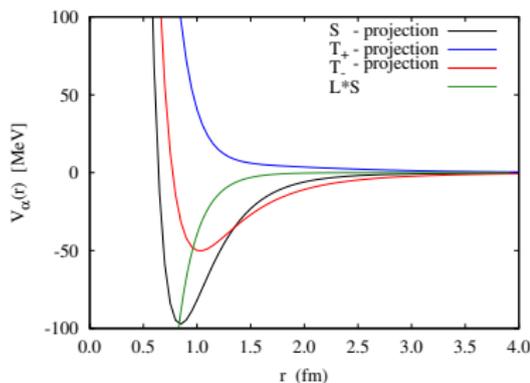
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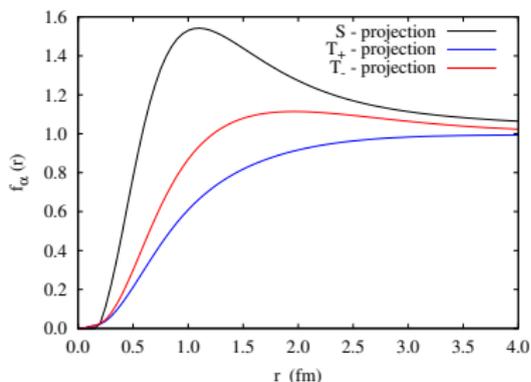
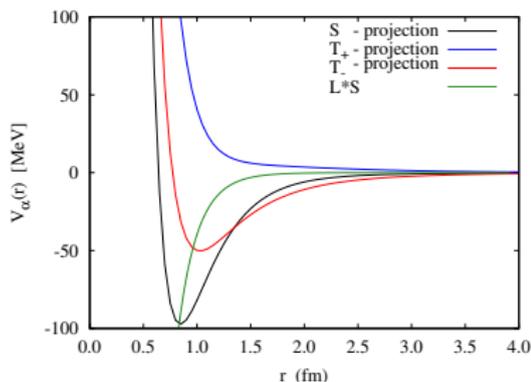
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There is no systematic way to calculate these to infinite order.



Spin-singlet correlation functions  $f_{\text{singlet}}(r_{ij})$  contribute to the spin-triplet distribution function  $g_{\text{triplet}}(r_{ij})$  and vice versa !

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How to deal with the problem

Options:

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Parquet diagram summations (Smith & Jackson 1988) do not lead to such effects.

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How to deal with the problem

Options:

- Ignore the problem
- The problem is an artefact of the “Symmetrized Operator Product” wave function:  
Parquet diagram summations (Smith & Jackson 1988) do not lead to such effects.
- There is physics to be learned !

# Beyond Parquet

Beyond the Bethe Goldstone equation

Assume a simple interaction

Calculate Goldstone diagrams

$$\begin{aligned}\hat{v}(\mathbf{q}) &= \tilde{v}_c(\mathbf{q}) + \tilde{v}_\sigma(\mathbf{q})\boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \\ &= \tilde{v}_S(\mathbf{q})P_S + \tilde{v}_T(\mathbf{q})P_T\end{aligned}$$

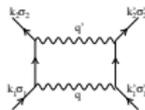
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- First diagram: Ordinary Bethe-Goldstone ladder

$$= \int \frac{d^3q}{(2\pi)^3 \rho} \frac{1}{E(k, q)} \underbrace{[V_S(q)V_S(k-q)P_S + V_T(q)V_T(k-q)P_T]}_{\text{direct term}}$$

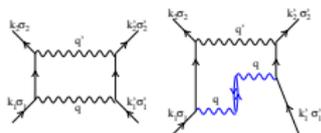
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- First diagram: Ordinary Bethe-Goldstone ladder
- Second diagram: Ladder with an induced interaction

$$= \int \frac{d^3q}{(2\pi)^3 \rho} \frac{1}{E(k, q)} \underbrace{[V_S(q)W_S(k - q)P_S + V_T(q)W_T(k - q)P_T]}_{\text{direct term}}$$

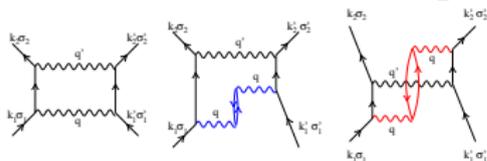
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Calculate Goldstone diagrams



- First diagram: Ordinary Bethe-Goldstone ladder
- Second diagram: Ladder with an induced interaction
- Not parquet but the same ingredients

$$\begin{aligned} &= \int \frac{d^3q}{(2\pi)^3 \rho} \frac{1}{E(k, q)} \underbrace{[V_S(q)W_S(k - q)P_S + V_T(q)W_T(k - q)P_T]}_{\text{direct term}} \\ &\quad + 4 \underbrace{\int \frac{d^3q}{(2\pi)^3 \rho} \frac{1}{E(k, q)} V_\sigma(q)W_\sigma(k - q)(P_T - 3P_S)}_{\text{commutator}} \end{aligned}$$

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Instead of equations

Sum these:

$$V_I = \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \dots + \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array} + \dots = \begin{array}{c} \uparrow \\ | \\ \text{---} \\ | \\ \uparrow \end{array}$$

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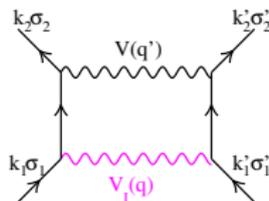
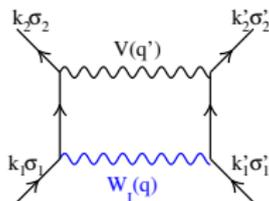
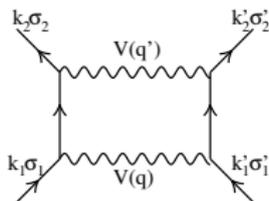
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Parquet: Supplement in Bethe-Goldstone equation

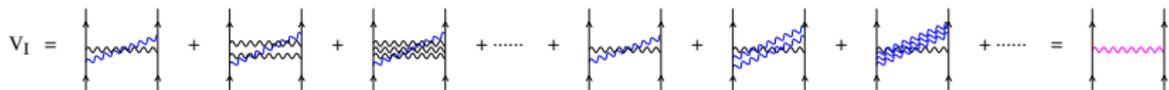
$$V(r) \rightarrow V(r) + V_I(r) + W_I(r)$$



# Beyond Parquet

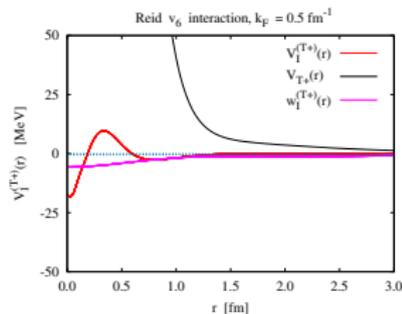
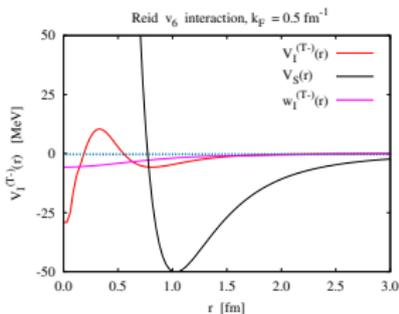
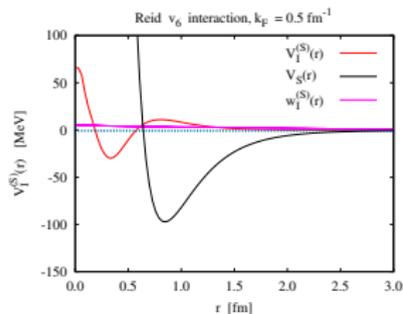
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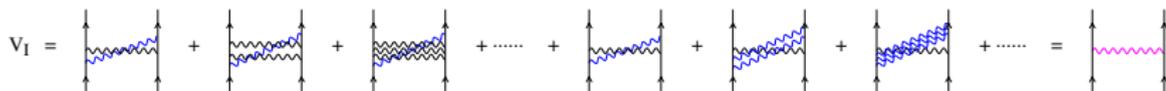
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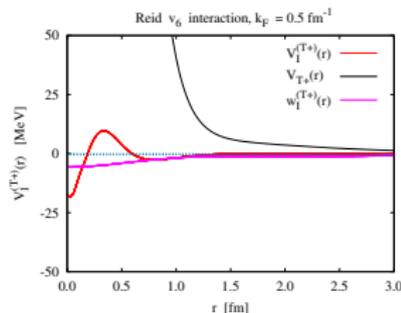
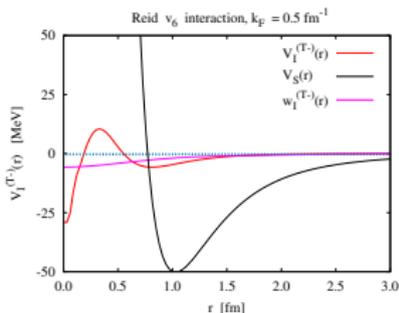
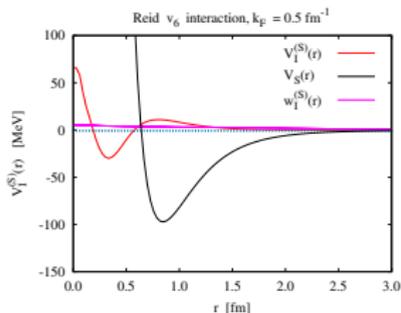
Instead of equations

Sum these:



Parquet: Supplement in Bethe-Goldstone equation

$$V(r) \rightarrow V(r) + V_I(r) + W_I(r)$$

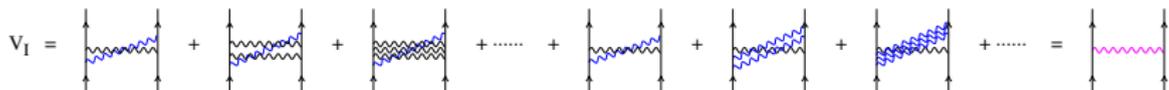


Non-parquet contributions are larger than all other many-body effects

# Beyond Parquet

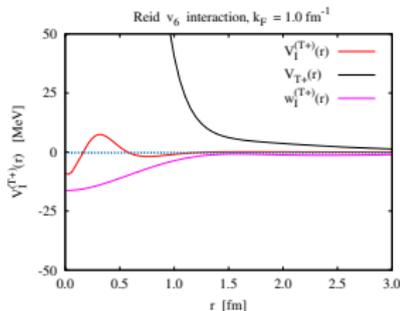
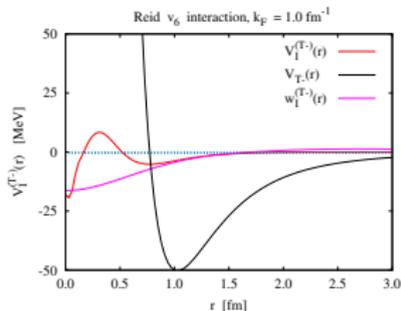
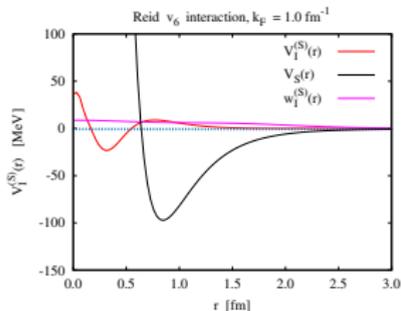
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# Rings

Without spin-orbit forces

Unit  $\hat{Q}_1 = \mathbb{1}$ , “Longitudinal”  $\hat{Q}_3 = (\boldsymbol{\sigma}_1 \cdot \hat{q})(\boldsymbol{\sigma}_2 \cdot \hat{q})$  and  
“Transverse” operators  $\hat{Q}_5 = \boldsymbol{\sigma}_1 \boldsymbol{\sigma}_2 - (\boldsymbol{\sigma}_1 \cdot \hat{q})(\boldsymbol{\sigma}_2 \cdot \hat{q})$   
Effective interactions:

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$$\tilde{V}_{\text{p-h}}^{(c)}(\mathbf{q}; \omega) \equiv \tilde{V}_{\text{p-h}}^{(c)}(\mathbf{q}) + \frac{1}{4} \chi_0^{(\perp)}(\mathbf{q}; \omega) \left[ \tilde{V}_{\text{p-h}}^{(\text{LS})}(\mathbf{q}) \right]^2$$

$$\tilde{V}_{\text{p-h}}^{(T)}(\mathbf{q}; \omega) \equiv \tilde{V}_{\text{p-h}}^{(T)}(\mathbf{q}) + \frac{1}{8} \chi_0^{(\perp)}(\mathbf{q}; \omega) \left[ \tilde{V}_{\text{p-h}}^{(\text{LS})}(\mathbf{q}) \right]^2$$

$$\chi_0^{(\perp)}(\mathbf{q}; \omega) = \frac{1}{N} \text{Tr}_\sigma \sum_{\mathbf{h}} \frac{|\hat{q} \times \mathbf{h}|^2}{k_F^2} \frac{2(t(\text{p}) - t(\text{h}))}{(\hbar\omega - i\eta)^2 - (t(\text{p}) - t(\text{h}))^2}$$

$$\hat{W}_{LS}^{(\text{odd})}(\mathbf{q}; \omega) = W^{(LS)}(\mathbf{q}, \omega) \widetilde{\mathbf{L}} \cdot \mathbf{S}$$

$$W^{(LS)}(\mathbf{q}, \omega) = \frac{1}{2} \frac{\tilde{V}_{p-h}^{(LS)}(\mathbf{q})}{1 - \chi_0(\mathbf{q}; \omega) \tilde{V}_{p-h}^{(c)}(\mathbf{q}; \omega)} + \frac{1}{2} \frac{\tilde{V}_{p-h}^{(LS)}(\mathbf{q})}{1 - \chi_0(\mathbf{q}; \omega) \tilde{V}_{p-h}^{(T)}(\mathbf{q}; \omega)},$$

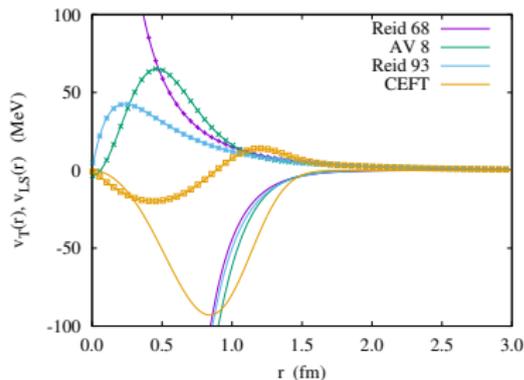
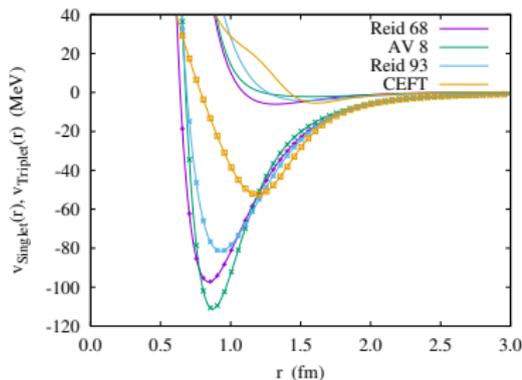
Some small terms have been omitted.

# Key takeaways from spin-orbit potentials

- Summing rings with spin-orbit potentials is not a big deal

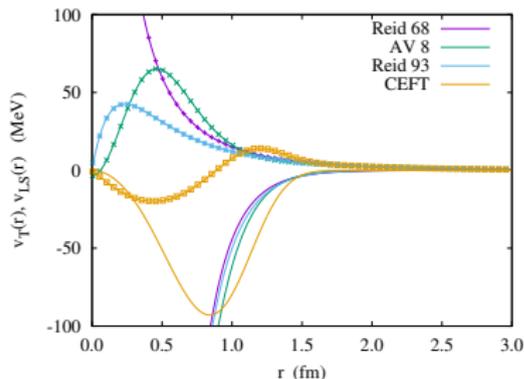
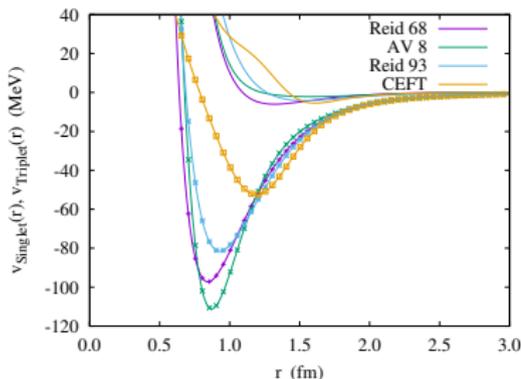
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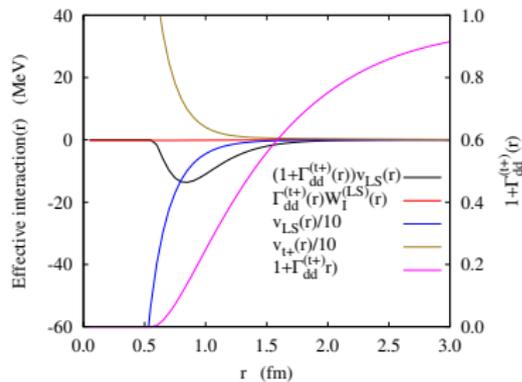


- Effective interactions contain the spin-orbit force in the form

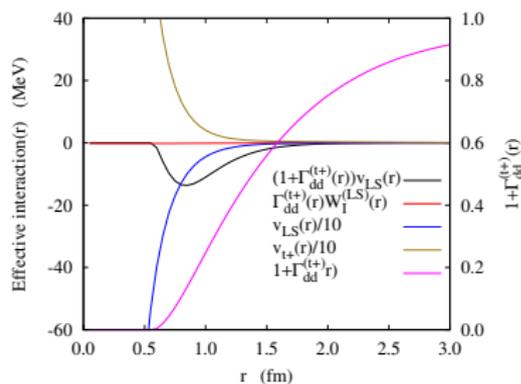
$$V_{\text{eff}}^{(\text{LS})}(\mathbf{r}) \approx \psi_{\text{T}}(\mathbf{r}) [V_{\text{LS}}(\mathbf{r}) + W_{\text{LS}}(\mathbf{r})] \psi_{\text{T}}(\mathbf{r})$$

where  $\psi_{\text{T}}(\mathbf{r})$  triplet pair wave function of the Bethe-Goldstone equation =  $\sqrt{1 + \Gamma_{\text{dd}}^{(\text{T})}(\mathbf{r})}$  “direct correlation function”.

# Key takeaways from spin-orbit potentials

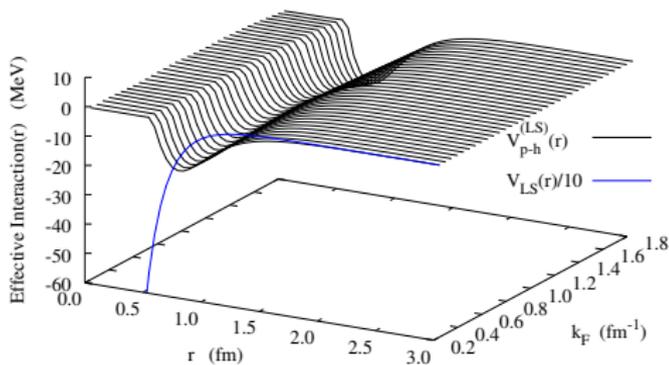
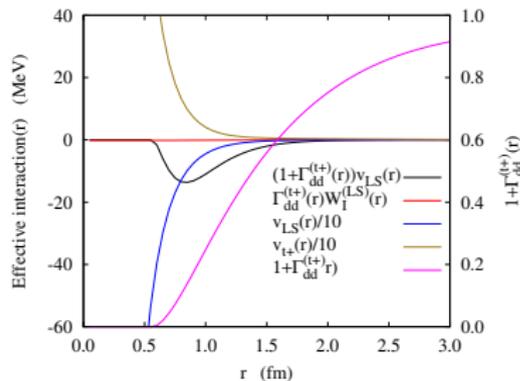


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# Applications: Singlet and Triplet pairing neutron matter

BCS gap-equation for the pairing function  $\Delta(\mathbf{k})$

$$\Delta(\mathbf{k}) = -\frac{1}{2} \sum_{\mathbf{k}'} \langle \mathbf{k} | V | \mathbf{k}' \rangle \frac{\Delta(\mathbf{k}')}{E(\mathbf{k}')}$$

Single-particle spectrum

$$E(\mathbf{k}) = \sqrt{(\epsilon(\mathbf{k}) - \mu)^2 + |\Delta(\mathbf{k})|^2}$$

$\langle \mathbf{k} | V | \mathbf{k}' \rangle$ : (effective) pairing interaction

Multicomponent version

$$\Delta^{(\ell)}(\mathbf{k}) = -\frac{1}{2} \sum_{\ell'} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \frac{V_{\ell\ell'}(\mathbf{k}, \mathbf{k}') \Delta^{(\ell')}(\mathbf{k}')}{\sqrt{(\epsilon(\mathbf{k}') - \mu)^2 + D^2(\mathbf{k}')}} .$$

$$E(\mathbf{k}) \approx \sqrt{(\epsilon(\mathbf{k}) - \mu)^2 + D^2(\mathbf{k})} \quad D^2(\mathbf{k}) = \frac{1}{4\pi} \int d\Omega_{\mathbf{k}} |\Delta(\mathbf{k})|^2$$

# Strongly interacting systems

- correlated BCS state

$$|\text{CBCS}\rangle = \sum_{\mathbf{m}, N} \frac{1}{I_{\mathbf{m}}^{(N)}} F_N |\mathbf{m}^{(N)}\rangle \langle \mathbf{m}^{(N)} | \text{BCS}\rangle$$

Landau potential

$$\langle H - \mu N \rangle_c = \frac{\langle \text{CBCS} | H - \mu N | \text{CBCS} \rangle}{\langle \text{CBCS} | \text{CBCS} \rangle}.$$

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- Minimize with respect to the Bogoliubov amplitudes

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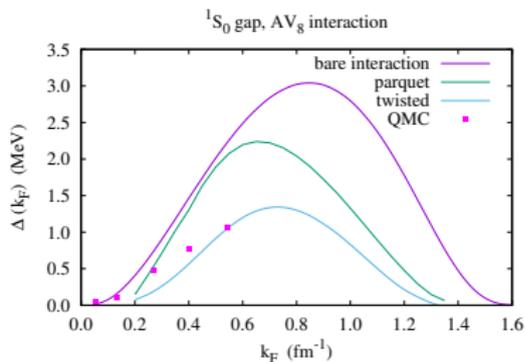
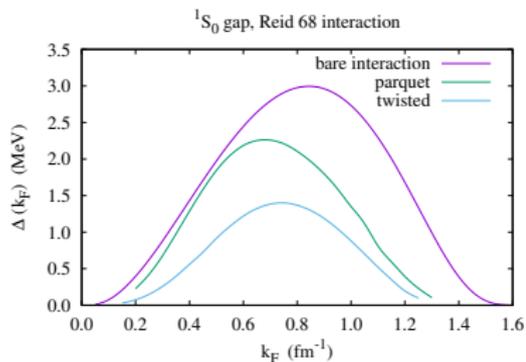
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- In the weakly coupled limit, these can be obtained from ground state properties
- Contain, among others, medium polarization and self-energy corrections.

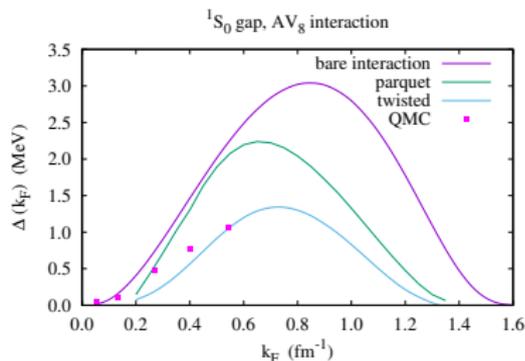
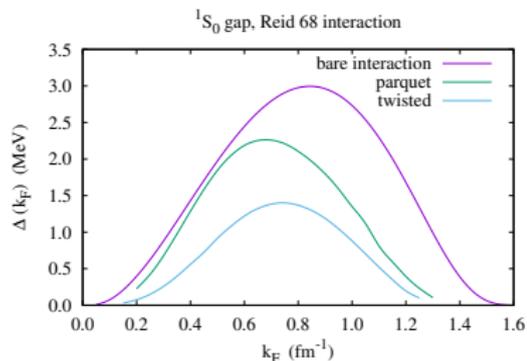
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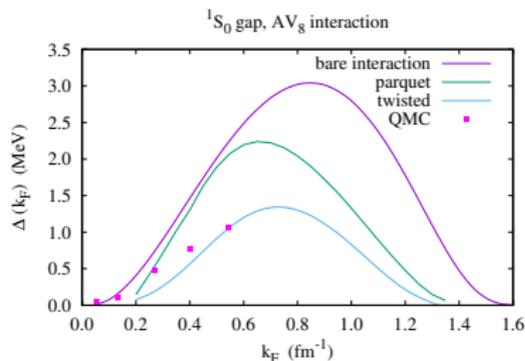
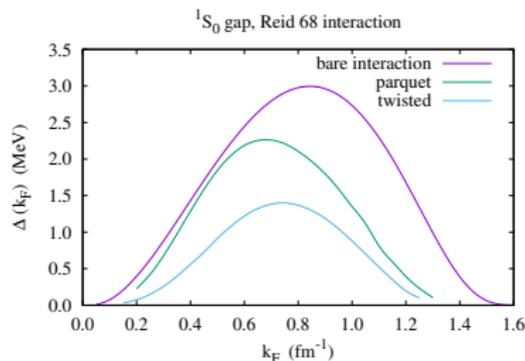


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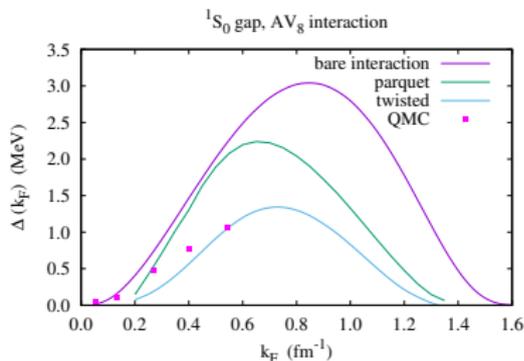
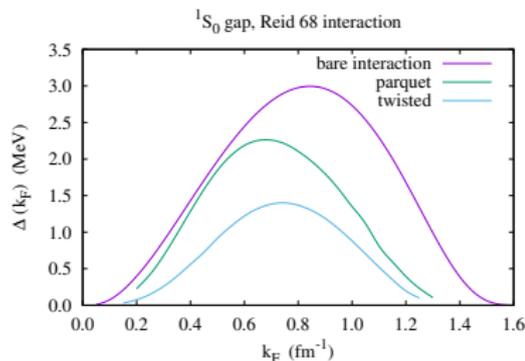


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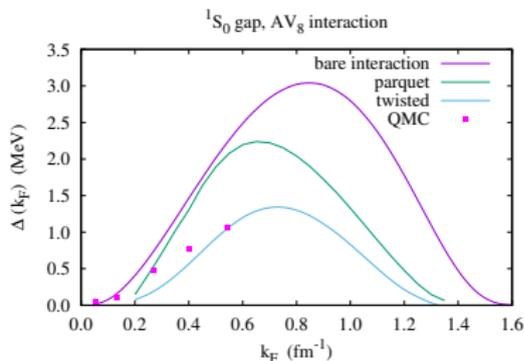
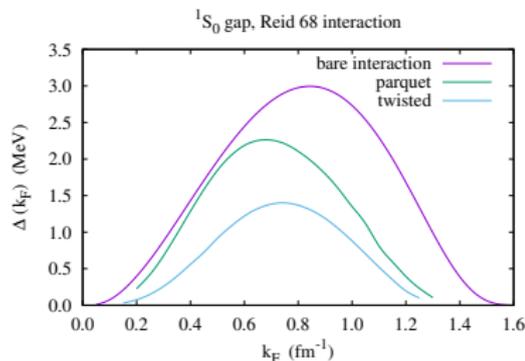


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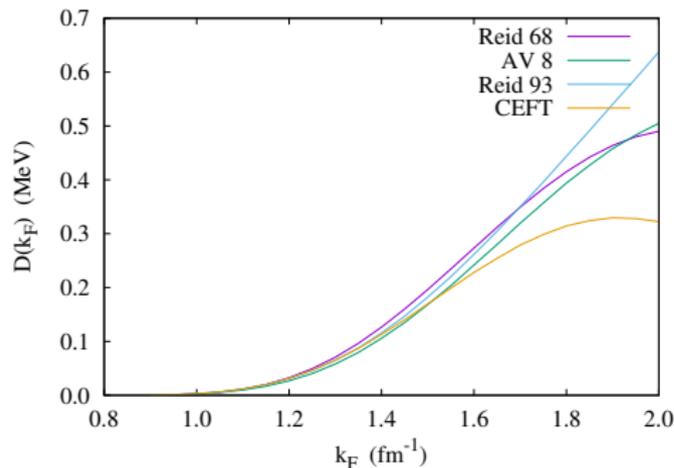
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- Good agreement with QMC should be taken with a grain of salt.

# P wave superfluidity

Leaving out many-body effects

Bare interaction results: Some history  
(Tamagaki, Takatsuka 1970-1972)

${}^3P_2$ - ${}^3F_2$  gap with bare interactions

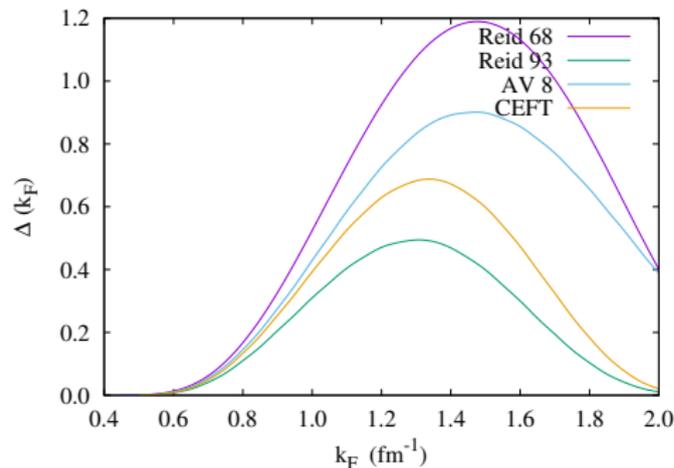


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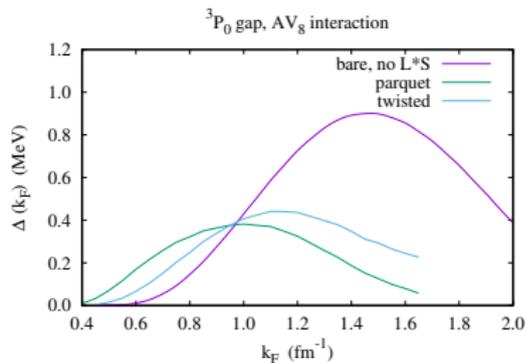
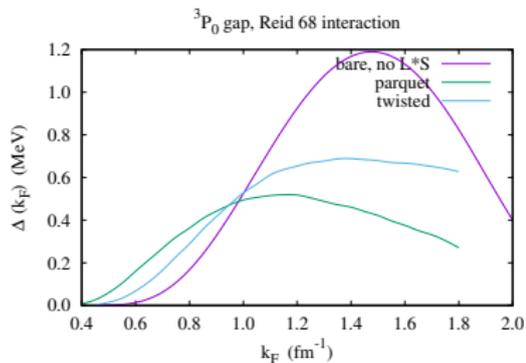
${}^3P_0$  gap with bare interactions  
and spin-orbit force turned off



However: “Without an attractive spin-orbit interaction, neutrons would form a  ${}^3P_0$  superfluid, in which the spin and orbital angular momenta are anti-aligned, rather than the  ${}^3P_2$  state, in which they are aligned.” (Gezerlis et al. in “Pairing and superfluidity of nucleons in neutron star”)

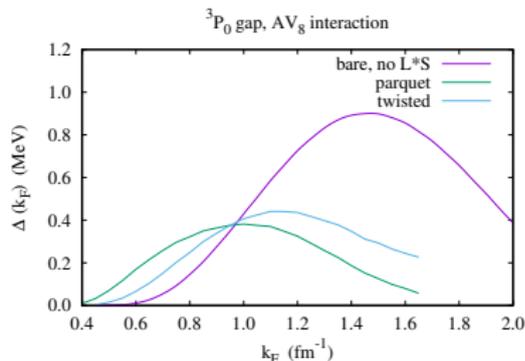
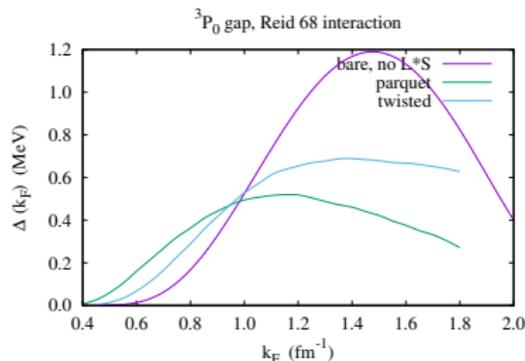
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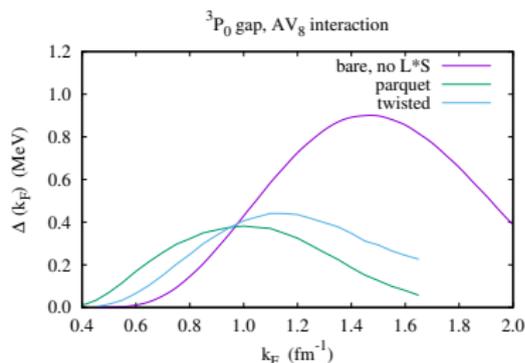
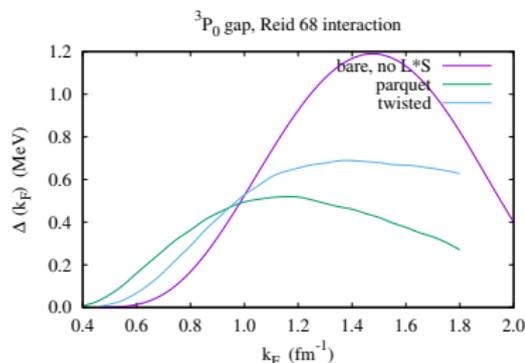


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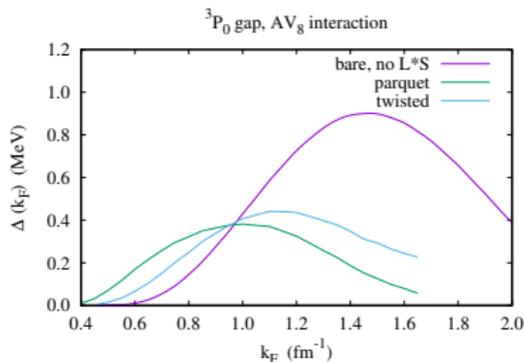
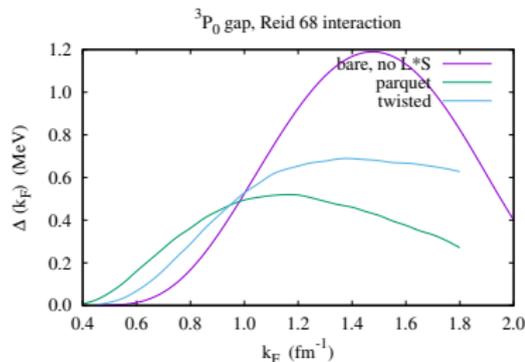


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# Dynamic Many-Body Theory (DMBT)

(Multi-)particle fluctuations for bosons

Make the correlated wave function time dependent !

$$|\Psi(t)\rangle = e^{-iE_0t/\hbar} \frac{F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}{\langle \Psi_0 | e^{\frac{1}{2}\delta U^\dagger} F^\dagger F e^{\frac{1}{2}\delta U} | \Psi_0 \rangle}^{1/2},$$

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Bosons:

$$\delta U(t) = \sum_i \underbrace{\delta u^{(1)}(r_i; t)}_{\text{long wavelength excitations}} + \sum_{i < j} \underbrace{\delta u^{(2)}(r_i, r_j; t)}_{\text{short wavelength excitations}} + \dots$$

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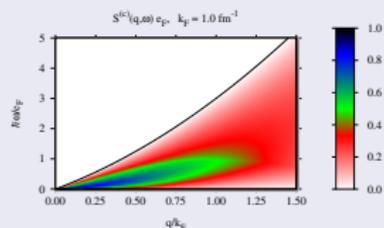
Action principle: Assume a weak external potential  $U_{\text{ext}}(\mathbf{r}; t)$ :

$$\begin{aligned} & \delta \int_{t_0}^{t_1} \left\langle \Psi(t) \left| \hat{H} - i\hbar \frac{\partial}{\partial t} + U_{\text{ext}}(t) \right| \Psi(t) \right\rangle dt \\ &= \delta \int_{t_0}^{t_1} \left\langle \Phi(t) \left| \hat{H} - H_{\text{oo}} - i\hbar \frac{\partial}{\partial t} + U_{\text{ext}}(t) \right| \Phi(t) \right\rangle dt = 0. \end{aligned}$$

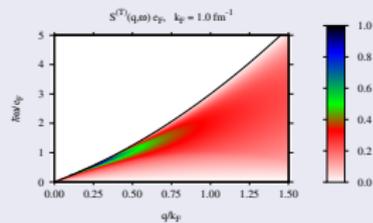
# Neutron matter response

...at the 1p-1h level

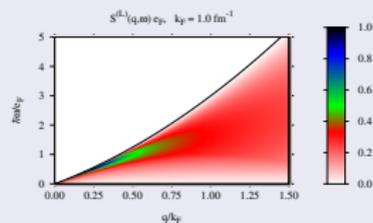
## Density channel



## Transverse spin mode



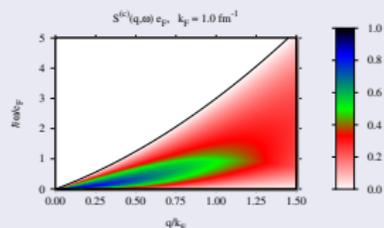
## Longitudinal spin mode



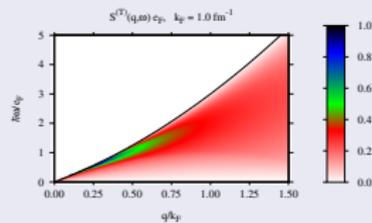
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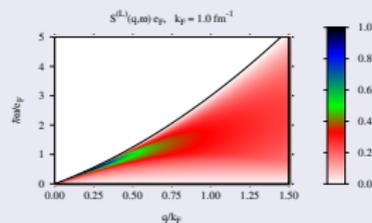
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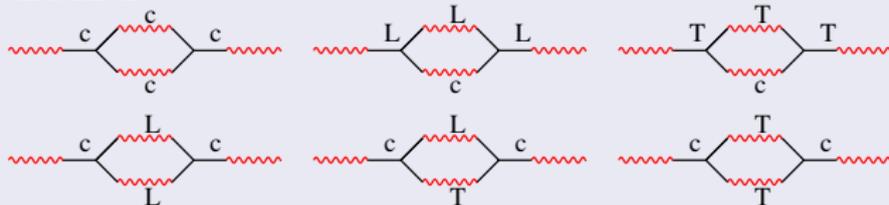


## Longitudinal spin mode



## Phonon/magnon splitting processes:

Included in DMBT



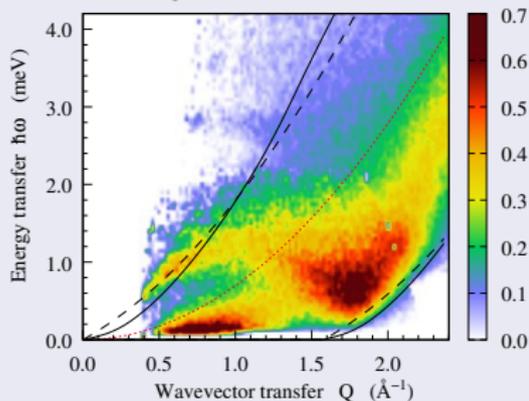
# Example

$^3\text{He}$  in 3D

## Experiments:

$$S(Q, \omega) = S_c(Q, \omega) + \frac{\sigma_i}{\sigma_c} S_I(Q, \omega)$$

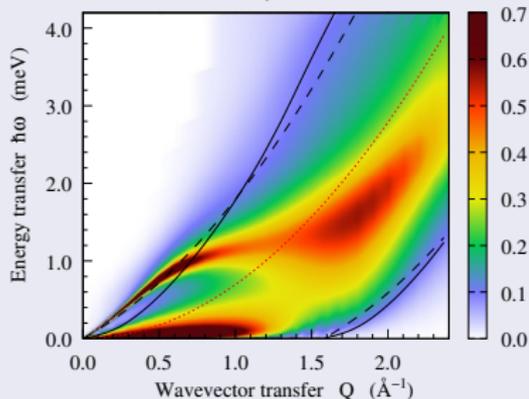
Experiments,  $P = 0.83$  bar



## DMBT:

$$S(Q, \omega) = S_c(Q, \omega) + \frac{\sigma_i}{\sigma_c} S_I(Q, \omega)$$

DMBT sum,  $\rho = 0.0166 \text{\AA}^{-3}$



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- Dynamic response is still in the works.